

LECTURE
ON THE NONBIRATIONAL INVARIANT E OF
ALGEBRAIC PLANE CURVES

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1. INTRODUCTION

2. HISTORY

Let me start with recalling the history of algebraic plane curves from the viewpoint of **birational geometry**.

2.1. **Q.T.** The fundamental **quadratic transformation** T between the projective plane \mathbf{P}^2 is defined by

$$Y_0 = X_1X_2, \quad Y_1 = X_0X_2, \quad Y_2 = X_1X_0.$$

- $X = \frac{Y_1}{Y_0} = \frac{X_0}{X_1} = \frac{1}{x},$

- $Y = \frac{Y_2}{Y_0} = \frac{X_0}{X_2} = \frac{1}{y}.$

By Q.T, a plane curve C of degree d is transformed into a plane curve C' .

Ex. If C is a quartic with three double points P_0, P_1, P_2 , then C' turns out to be a conic.

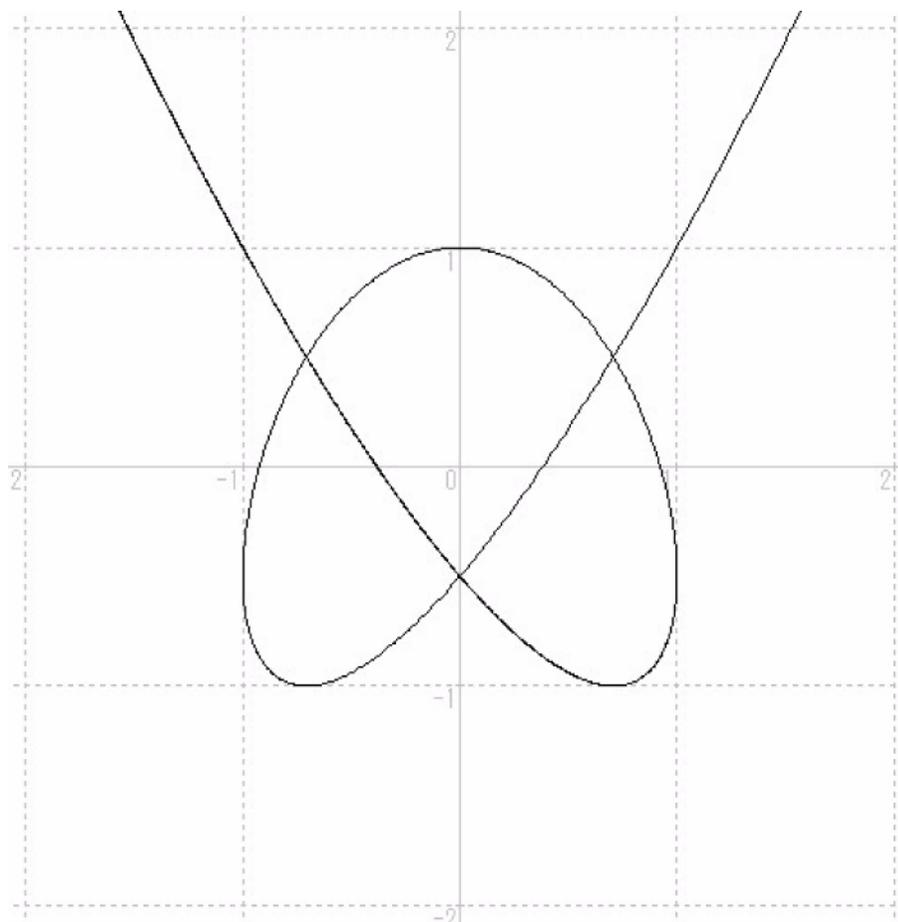


FIGURE 1. degree 4 curve with 3 nodes

2.2. Noether's formula. Suppose that C is a curve of degree d with singular points of which multiplicities are $\nu_0, \nu_1, \nu_2, \dots, \nu_r$ such that $\nu_0 \geq \nu_1 \geq \nu_2 \geq \dots \geq \nu_r$.

If C has singular points with multiplicities ν_0, ν_1, ν_2 at P_0, P_1, P_2 , then we see that C' has multiplicities ν_0', ν_1', ν_2' at Q_0, Q_1, Q_2 where

$$\nu_0' = d - \nu_1 - \nu_2, \quad \nu_1' = d - \nu_0 - \nu_2, \quad \nu_2' = d - \nu_1 - \nu_2$$

Here, $d' = 2d - \nu_0 - \nu_1 - \nu_2$. (Noether's formula)

In particular, if $d' < d$, then C' looks much simpler than C .

$d' < d$ if and only if $d < \nu_0 + \nu_1 + \nu_2$.

$d < \nu_0 + \nu_1 + \nu_2$ is an inequality called Noether's inequality.

Example. A curve defined by $x = \cos 5\theta$, $y = \cos 4\theta$ is a **rational curve** of degree 5 with 6 double points.

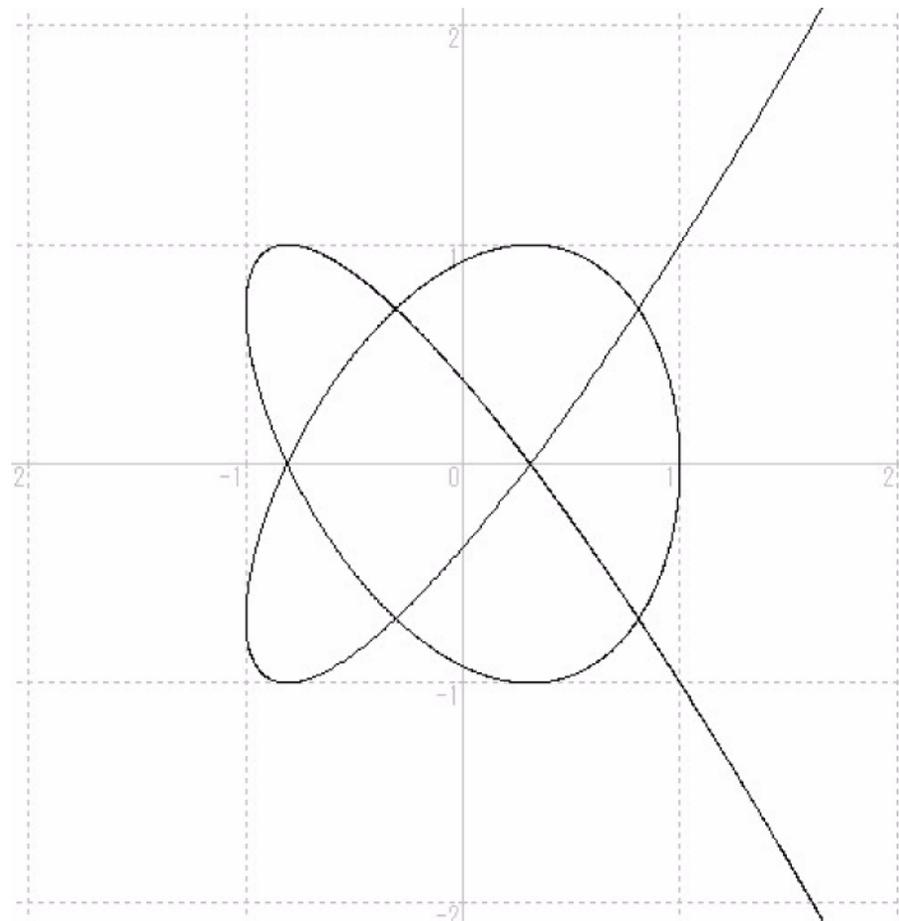
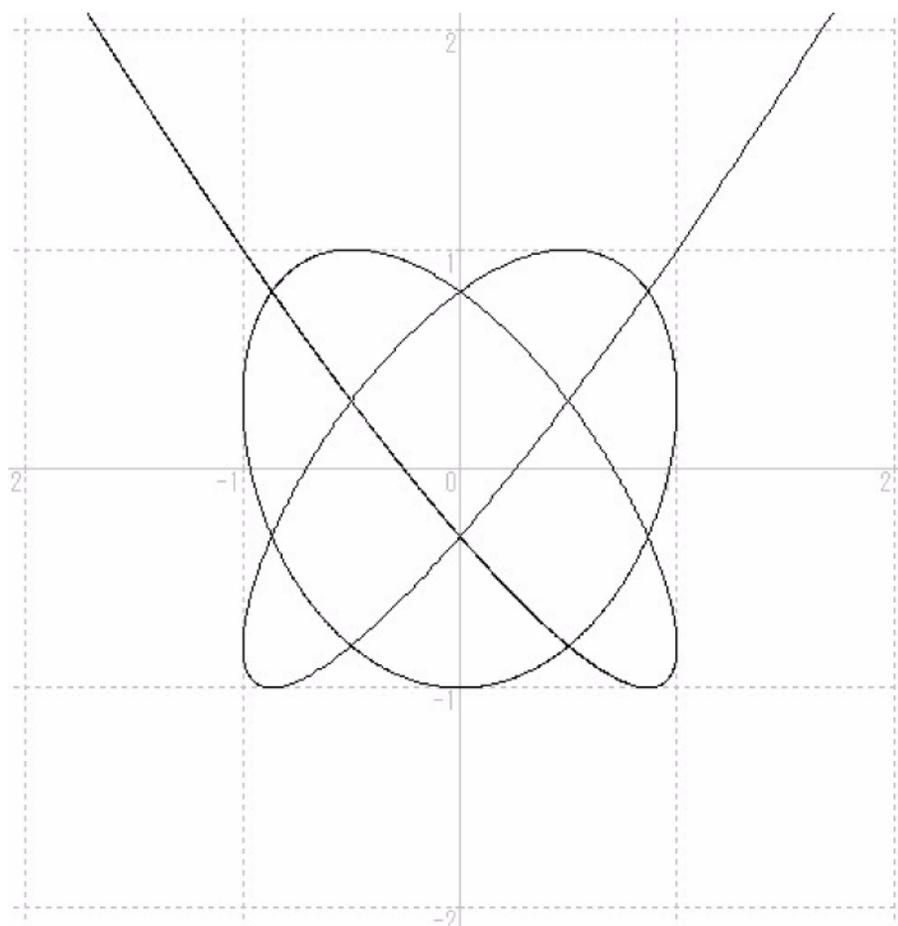


FIGURE 2

Then $\nu_0 = \nu_1 = \nu_2 = 2$ and $d' = 2d - \nu_0 - \nu_1 - \nu_2 = 10 - 6 = 4$.

This is transformed into a line by a birational transformation between the projective plane \mathbf{P}^2 , which we call [Cremona transformation](#).

However, the curve defined by $x = \cos 6\theta, y = \cos 5\theta$ has degree 6 and 10 double points.



Since $\nu_0 = \nu_1 = \nu_2 = 2$, it follows that $d' = 2d - \nu_0 - \nu_1 - \nu_2 = 12 - 6 = 6$.

Thus C' does not look simpler than C .

Fact 1. If C is a curve transformed from a line on \mathbf{P}^2 by a Cremona transformation then $d < \nu_0 + \nu_1 + \nu_2$.

But the converse is not true.

Fact 2. If $d \geq \nu_0 + \nu_1 + \nu_2$, C cannot be transformed into a line on \mathbf{P}^2 by any Cremona transformation.

Fact 3. If C is a curve transformed from a line on \mathbf{P}^2 by a Cremona transformation then $d < \nu_0 + 2\nu_2$.

These are proven by using logarithmic Kodaira dimension.

$d \geq \nu_0 + \nu_1 + \nu_2$ is the [converse of Noether's inequality](#).

Theorem 1 (Cremona). *If $d \geq \nu_0 + \nu_1 + \nu_2$ holds, then C is Cremonian minimal; If C' is obtained from C by a Cremona transformation, then the degree of $C' \geq d$.*

Just by simple computation.

But even if C is not transformed into line on \mathbf{P}^2 , after a Cremona transformation the condition $d \geq \nu_0 + \nu_1 + \nu_2$ is not necessary satisfied.

For a singular rational curve C on \mathbf{P}^2 , after a finite number of blowing ups we get a nonsingular rational surface S and a nonsingular curve D which is the proper transform of C .

Say C has degree d and singular points with multiplicities $\nu_0, \nu_1, \nu_2, \dots, \nu_r$ such that $\nu_0 \geq \nu_1 \geq \nu_2 \geq \dots \geq \nu_r$.

Then

$$D^2 = d^2 - \nu_0^2 - \nu_1^2 - \dots - \nu_r^2.$$

In this case, we say that C has the plane type $[d; \nu_0, \nu_1, \nu_2, \dots, \nu_r]$.

2.3. **Nagata.** In 1960, M.Nagata showed that:

Let D be a nonsingular elliptic curve on a nonsingular rational surface S .

Then $D^2 \leq 9$.

If $D^2 = 9$ then (S, D) is transformed into (\mathbf{P}^2, C_3) .

Here C_d means a nonsingular plane curve of degree d .

2.4. **Hartshorne.** In 1970, R.Hartshorne showed that if $g > 1$ then $D^2 \leq 4g + 4$.

Moreover, if hyperelliptic curves defined by $y^2 = \prod_{j=1}^{2g+1} (x - a_j)$ (distinct roots) satisfy $D^2 = 4g + 4$.

Later it was shown that curves with $D^2 = 4g + 4$ turn out to be such **hyperelliptic curves or a curve of plane type** [4;1].

Indeed, $D^2 = 16, g = 3$ by applying the theory of minimal models.

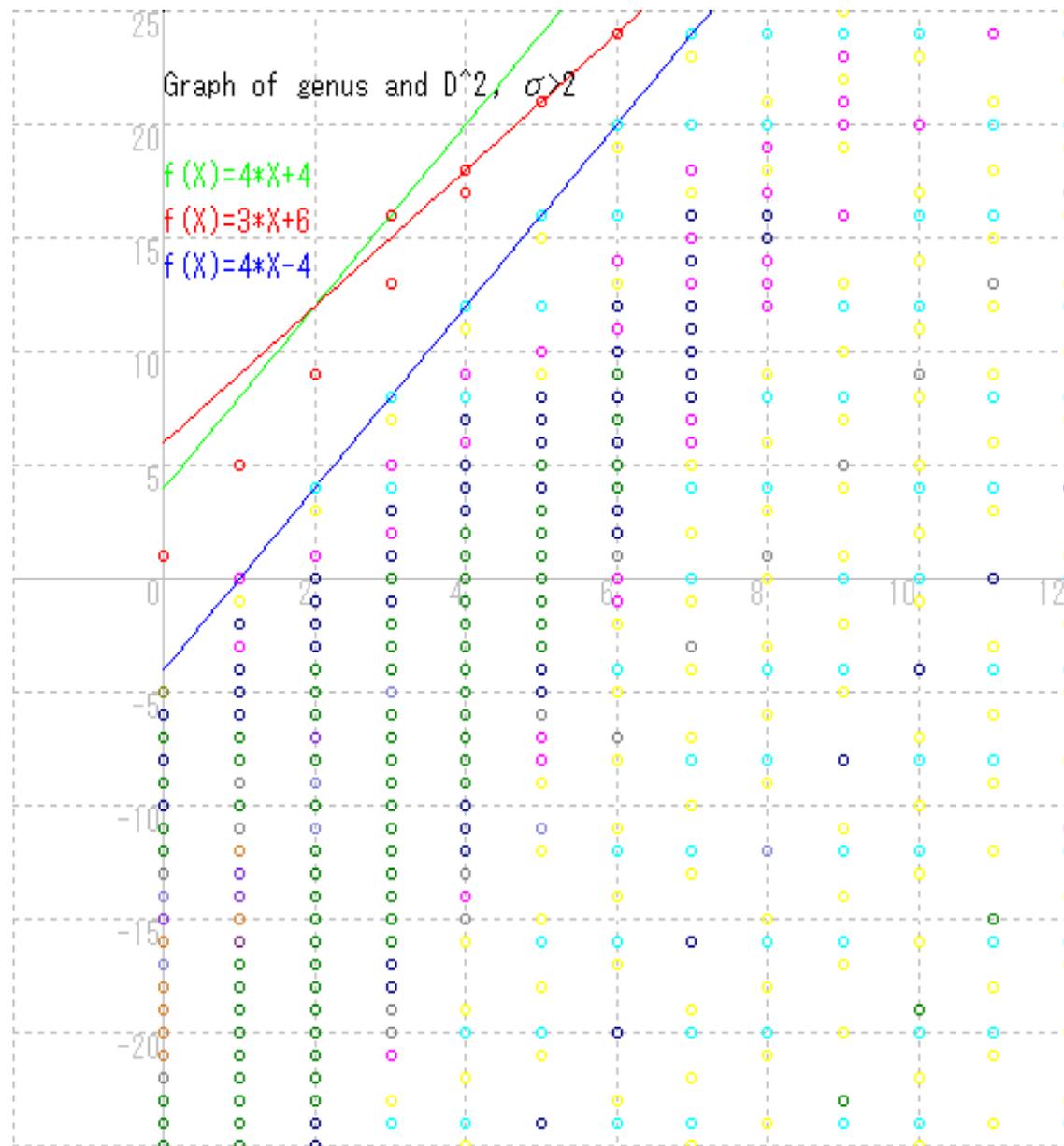


Figure 4

2.5. **Coolidge.** In 1928, Coolidge studied plane curves C and introduced the notion called the **adjoint systems** of special index j , which are defined to be $jK_S + D$, $j > 1$.

Coolidge:

If D is rational and $|2K_S + D| = \emptyset$, then D is transformed into a line on \mathbf{P}^2 by a Cremona transformation

This looks like Castelnuovo's criterion of rationality, which claims S is a rational surface if and only if $P_2(S) = 0$, $\dim H^0(S, \Omega^1) = 0$.

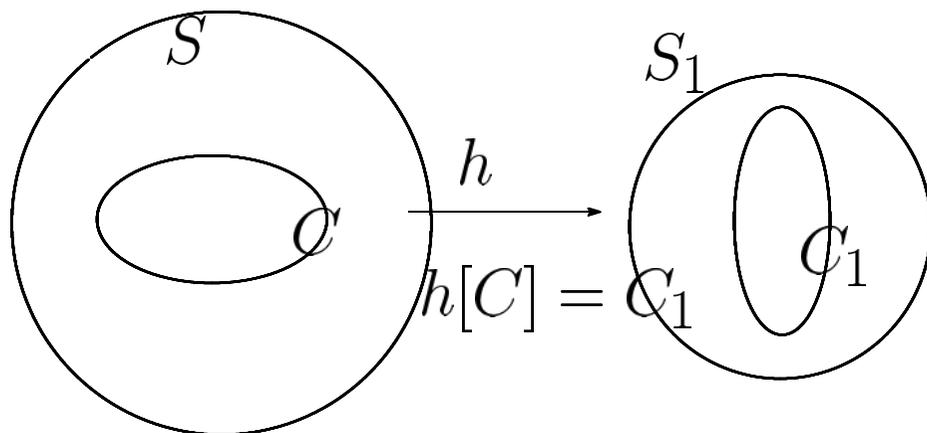
American geometers developed **Cremonian geometry**.

They studied properties of plane curves which are invariant under Cremona transformations.

In Cremonian geometry, pairs (S, C) of nonsingular rational surfaces S and curves $C \subset S$ are objects of the study.

For pairs (S, C) where C is nonsingular, D stands for C .

Given pairs (S, C) and (S_1, C_1) , if there exists a birational map $h : S \rightarrow S_1$ such that the proper transform $h[C] = C_1$ then they are said to be *birationally equivalent*.



Let S be a nonsingular rational surface S and D a nonsingular curve on S .

Suppose that $m \geq a \geq 1$.

Then $P_{m,a}[D] = \dim |mK_S + aD| + 1$ are called **mixed plurigenera**, which depend on S and D .

Z stands for $K_S + D$.

$P_{m,m}[D] = \dim |mZ| + 1$ are **logarithmic plurigenera** of $S - D$, from which **logarithmic Kodaira dimension** is introduced, denoted by $\kappa[D]$.

2.6. minimal models. A non-singular pair (S, D) is said to be **relatively minimal**, whenever $D \cdot E \geq 2$ for any (-1) curve E , i.e. ,i.e. an exceptional curve of the first kind, on S such that $E \neq D$.

Theorem 2. *If (S, D) is relatively minimal and $\kappa[D] = 2$ then (S, D) is actually *minimal*.*

In other words, any birational map from (S_1, D_1) to (S, D) turns out to be regular.

Proposition 1. *Suppose that (S, D) is minimal.*

- (1) *If $g = g(D) > 0$ then $Z = K_S + D$ is nef. Moreover, when $\kappa[D] = 2$, Z is big.*
- (2) *If $g = 0$ and $\kappa[D] = 2$ then (1) $D^2 \leq -5$ and (2) $Z_\beta = Z - \frac{2}{\beta}D$ is nef and big, where $\beta = -D^2$.*

If $S \neq \mathbf{P}^2$, then there exists a surjective morphism $pr : S \rightarrow \mathbf{P}^1$ whose general fibers are \mathbf{P}^1 . The minimum of the **mapping degree** of $pr|_D : D \rightarrow \mathbf{P}^1$ is denoted by σ .

$P_{1,1}[D] = g$ the genus of D , and \bar{g} is defined to be $g - 1$.

If $\sigma > 4$ then $D + 2K_S$ is **nef and big**;

$P_{2,1}[D] = Z^2 - \bar{g} + 1 = A + 1$, where $\bar{g} = g - 1$, $A = Z^2 - \bar{g}$;

If $\sigma > 6$ then $|D + 3K_S| \neq \emptyset$ and

$$P_{3,1}[D] = 3Z^2 + 1 - 7\bar{g} + D^2 = 3A - \alpha + 1 = \Omega - \omega + 1$$

where $\alpha = 4\bar{g} - D^2$, $\Omega = (3Z - 2D) \cdot Z = 3Z^2 - 4\bar{g}$ and $\omega = 3\bar{g} - D^2$.

Problem: Study birational invariants such as $D^2, Z^2, \alpha, A, \Omega, \omega, \sigma$.

TABLE 1. Yii and Yang

Yii	(陰)	$D^2, \alpha = 4\bar{g} - D^2, \omega = 3\bar{g} - D^2, \omega_1 = \omega - \bar{g}$
Yang	(陽)	$Z^2, A = Z^2 - \bar{g}, \Omega = 3Z^2 - 4\bar{g}, A_1 = A - \bar{g}$
Neutral	(中立)	$\sigma, Q = (2Z - D)^2, K_S^2$

TABLE 2. like elementary particles

1st generation	$d, \nu_1, \nu_2, \dots, \nu_r, \sigma, e, B$
2nd generation	$g = \text{genus},$
3rd generation	$\alpha, \omega, \alpha_1, \omega_1, A, \omega, A_1, \Omega_1$ $P_{2,1}[D], P_{3,1}[D], P_{m,a}[D],$

$\alpha, \omega, A, \Omega, P_{2,1}[D], P_{3,1}[D]$ are very powerful invariants;
They determine the structure of D on S .

If $B \geq 3$, then

- $\sigma \leq 3\omega$
- $\sigma \leq 3\alpha + 3$

If $B \leq 2$, then

- $\sigma \leq (\omega + 1)(\omega + 2)$
- $\sigma \leq (\alpha + 2)(\alpha + 3)$ (By Matsuda)
- $\sigma \leq \omega_1^2 + \omega_1 + 2\bar{g} + 2$
- $\sigma \leq (A + 2)(A + 3)$
- $\sigma \leq A_1^2 + 2\bar{g} + 3A_1 + 4$
- $9\sigma \leq \Omega_1^2 + 9\Omega_1 + 18\bar{g} + 36.$
- $\sigma \leq \frac{(\Omega+5)(\Omega+8)}{9}$

Define ε_B to be 1(if $B = 0$), $= \frac{3}{2}$ (if $B = 1$), $= 2$ (if $B = 2$).

- $e \leq \varepsilon_B(\omega + 1)(\omega + 2)$
- $e \leq \varepsilon_B(\alpha + 2)(\alpha + 3)$
- $e \leq \varepsilon_B(\omega_1^2 + \omega_1 + 2\bar{g} + 2)$
- $e \leq \varepsilon_B(A + 2)(A + 3)$

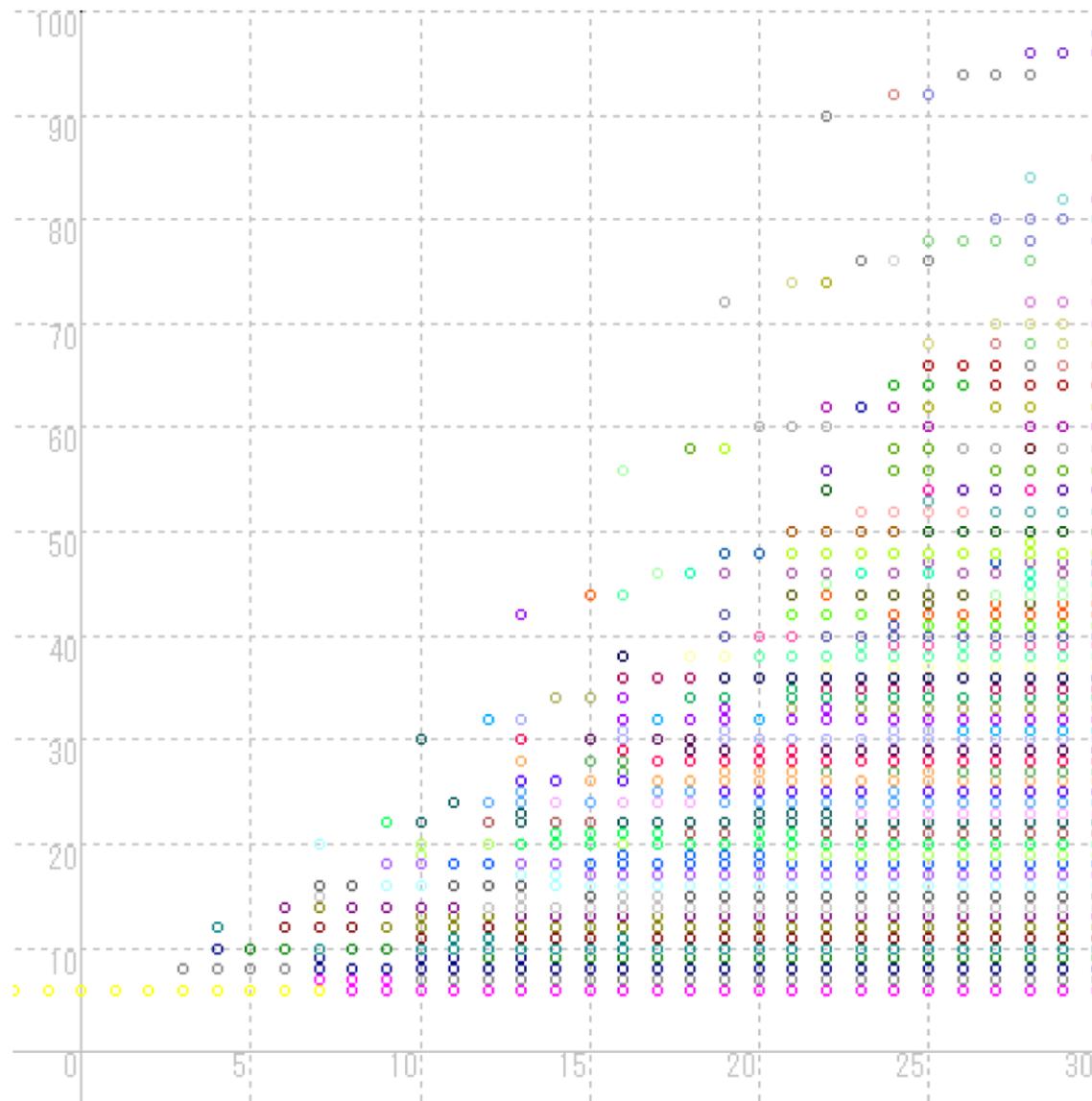


FIGURE 6. iitaka: (ω, σ)

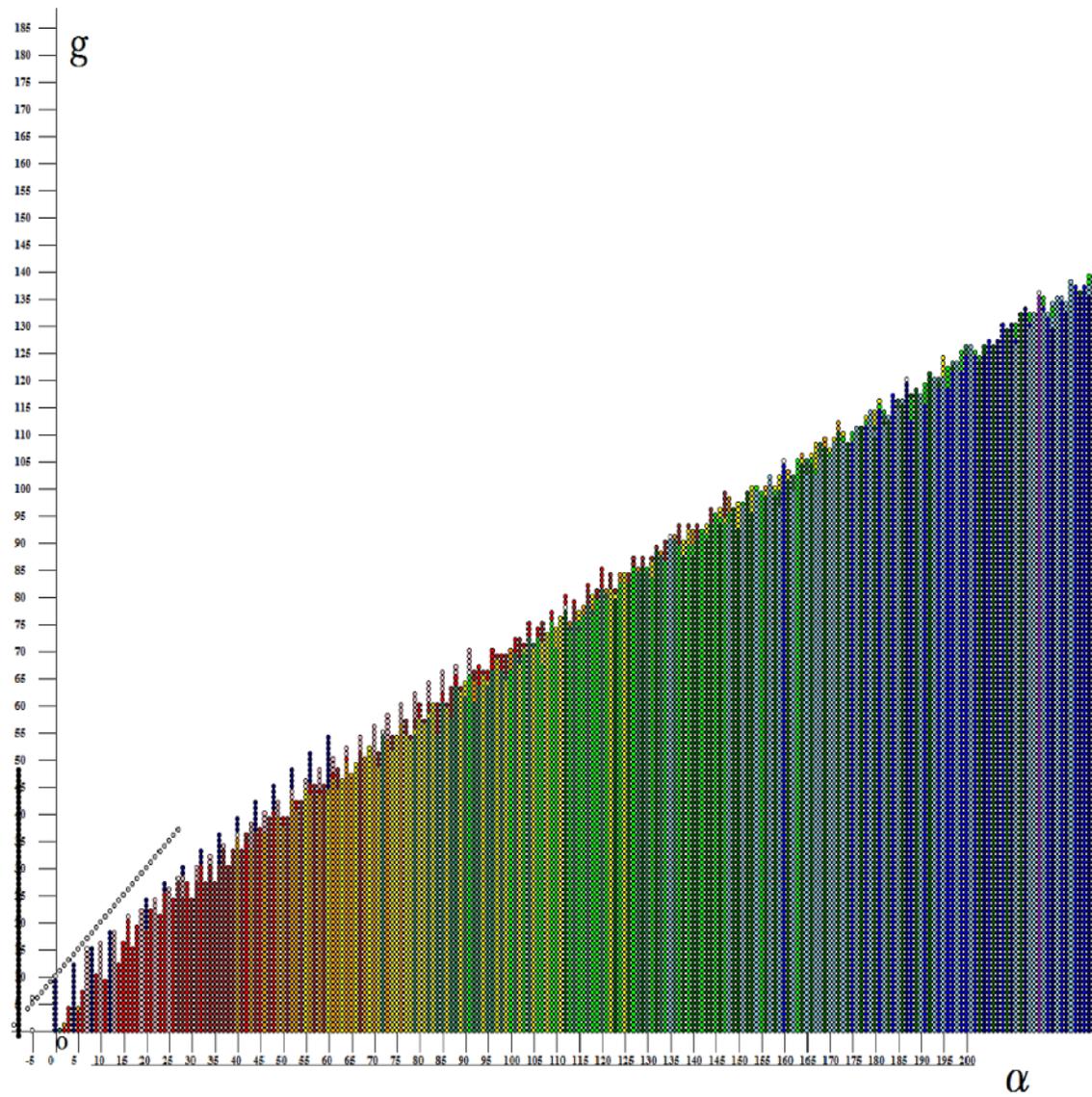


FIGURE 7. Moriyama: (α, g)

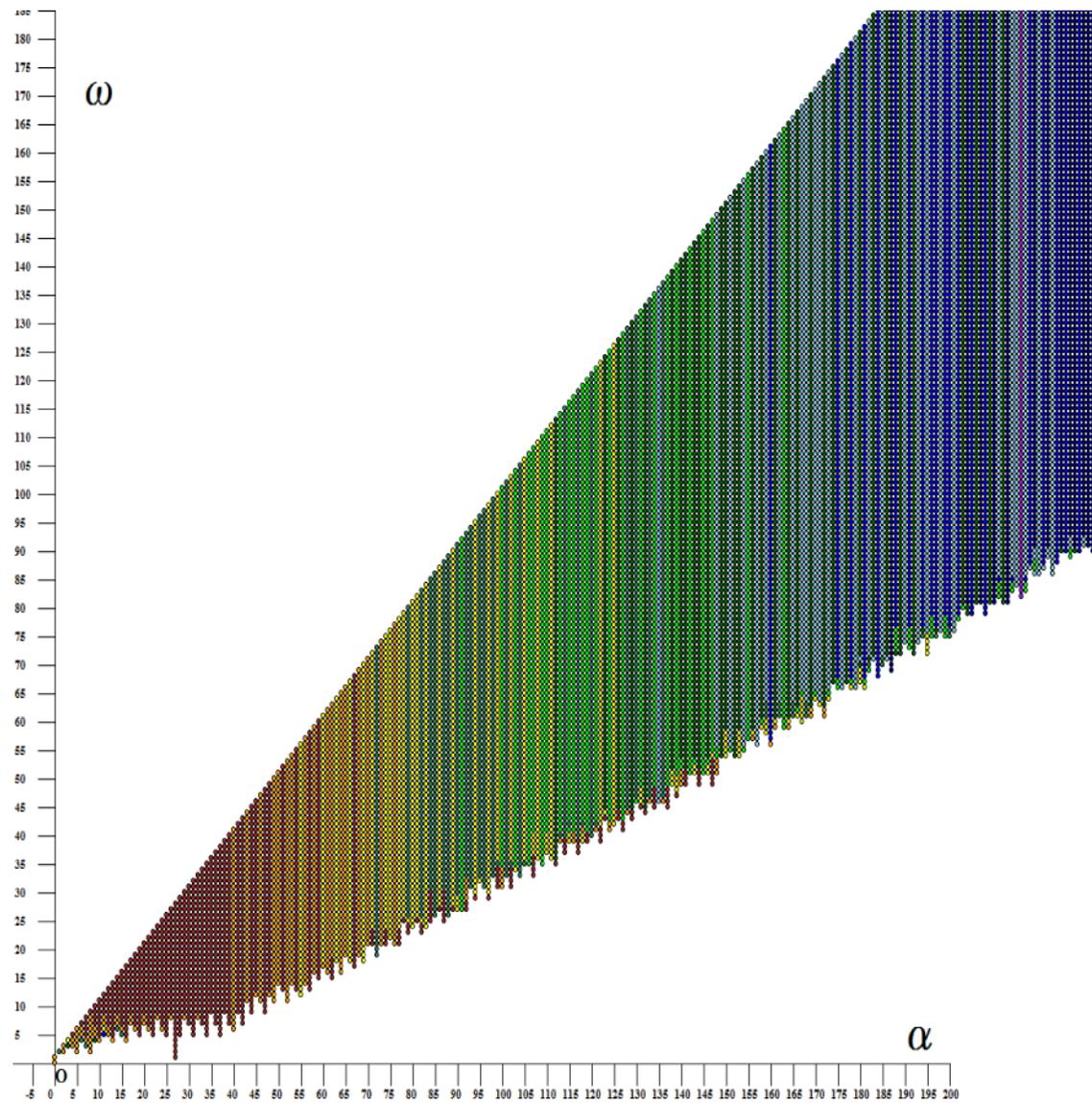


FIGURE 8. Moriyama: (α, ω)

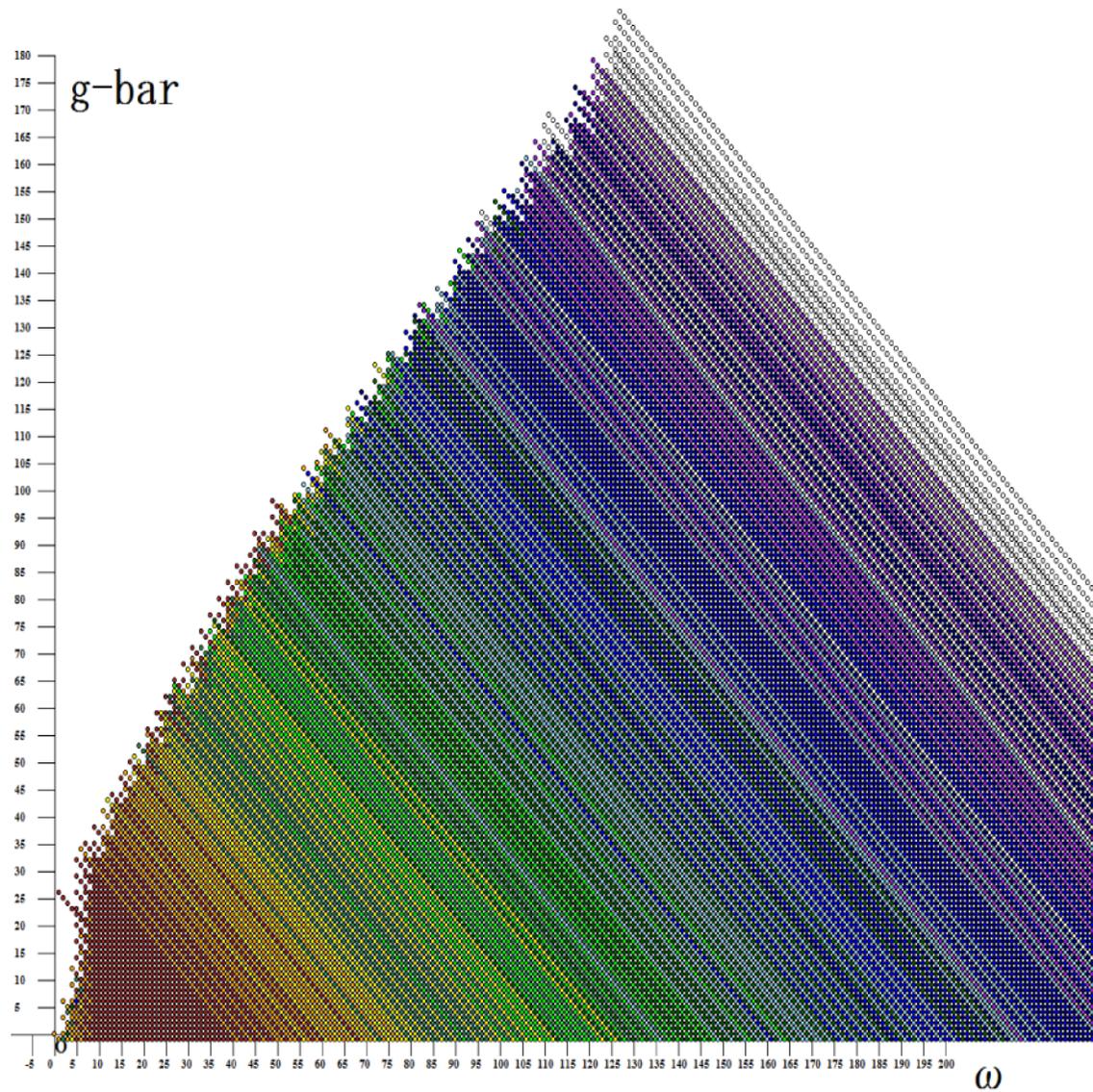
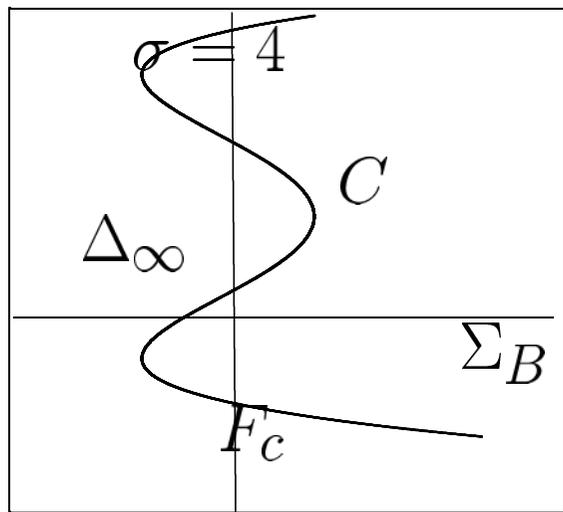


FIGURE 9. Moriyama: (ω, g)

2.7. # **minimal pairs.** Minimal pairs are obtained from some kind of singular models, namely, # **minimal pairs.**

Any \mathbf{P}^1 -bundle over \mathbf{P}^1 has a section Δ_∞ with negative self intersection number, which is denoted by a symbol Σ_B , where $-B = \Delta_\infty^2$ if $B > 0$.

Σ_B is said to be a Hirzebruch surface of degree B after Kodaira.



Let C be a curve on Σ_B .

Then $C \sim \sigma\Delta_\infty + eF_C$, for some σ and e .

Here, the symbol \sim means the linear equivalence.

$$C \cdot F_C = \sigma \text{ and } C \cdot \Delta_\infty = e - B \cdot \sigma.$$

Hereafter, suppose that $C \neq \Delta_\infty$.

Thus $C \cdot \Delta_\infty \geq 0$ and hence, $e \geq B\sigma$.

If $B > 0$ then $\Delta_\infty^2 = -B < 0$ and

such a section Δ_∞ is uniquely determined.

2.8. types of pairs. The symbol $[\sigma * e, B; \nu_1, \nu_2, \dots, \nu_r]$ is said to be the **type** of (Σ_B, C) .

Assume that $\sigma \geq 2\nu_1$ and $e - \sigma \geq B\nu_1$.

Moreover, if $B = \nu_1 = 1$ then assume $e - \sigma > 1$.

When the above conditions are satisfied, the pair (Σ_B, C) is said to be **# minimal**.

- $p = \sigma - 2\nu_1 \geq 0$,
- If $B = 0$, then $u = e - \sigma \geq 0$.
- If $B = 1$, then $u = e - \sigma - \nu_1 \geq 0$.
- If $B \geq 2$, then $u = e - B\sigma \geq 0$.

Using elementary transformations, we get

Theorem A. *If a pair (S, D) is not transformed into a line by Cremona transformations, then*

- (1) *(S, D) is obtained from a # minimal pair(model) (Σ_B, C) by shortest resolution of singularities of C using blowing ups or;*
- (2) *$(S, D) = (\mathbf{P}^2, C_d)$, C_d being a nonsingular curve.*

2.9. possible type and geometric type. If the pair (S, D) satisfy $\sigma \geq 5$ and $Z^2 > 0$ which is derived from a # minimal pair (Σ_B, C) with type $[\sigma * e, B; \nu_1, \nu_2, \dots, \nu_r]$, then

$$g = (\sigma - 1)(\tilde{B} - 2)/2 - \sum_{j=1}^r \nu_j(\nu_j - 1)/2 \geq 0,$$

$$Z^2 = (\sigma - 2)(\tilde{B} - 4) - \sum_{j=1}^r (\nu_j - 1)^2 > 0.$$

$$D^2 = \sigma \tilde{B} - \sum_{j=1}^r (\nu_j)^2 > 0.$$

Here, $\tilde{B} = 2e - B\sigma$.

Theorem B. *Suppose that (S, D) is obtained from a # minimal pair(model) (Σ_B, C) by shortest resolution of singularities of C .*

Then (S, D) is relatively minimal.

In other words, for any (-1) curve Γ on S , $\Gamma \cdot \Delta \geq 2$.

Theorem 3. *Suppose that (S, D) is relatively minimal and $\kappa[D] \geq 0$. If $g > 0$ then $Z = D + K_S$ is nef.*

2.10. **graph.**

We have the following inequality, which is closely related to the inequality (1).

Theorem 4. *Let \bar{g} denote $g - 1$.*

If $\sigma \geq 7$, then

$$(1) \quad \sigma \leq \omega_1^2 + \omega_1 + 2 + 2\bar{g}.$$

Here, $\omega_1 = \omega - \bar{g}$ and $\omega_1 = K_S \cdot D$.

2.11. lemma.

Lemma 1. *Suppose that (S, D) is minimal.*

- (1) *If $B = 0$ or 2 then $2\sigma\bar{g} - (\sigma - 2)D^2 = (2D + \sigma K_S) \cdot D \geq 0$.*
- (2) *If $B = 1$ then either $(2D + \sigma K_S) \cdot D \geq 0$ or $(3D + eK_S) \cdot D \geq 2$.*
- (3) *If $B \geq 2$ then $(2D + \sigma K_S) \cdot D \geq \sigma^2(B - 2)$.*

3. ESTIMATE OF e

3.1. case in which $B \geq 3$.

Lemma 2.

- (1) *If $B \geq 2$ then $(2D + \sigma K_S) \cdot D \geq (2e - \sigma B - 2\sigma)\sigma \geq \sigma^2(B - 2)$.*
- (2) *If $B \geq 3$ then $(2D + \sigma K_S) \cdot D \geq \sigma^2$; in particular,
 $2\sigma\bar{g} - (\sigma - 2)D^2 \geq \sigma^2$. Hence, $\sigma\omega_1 + 2D^2 \geq \sigma^2$.*

By Lemma 2(1), we get

$$(\sigma - 2)\omega_1 + 4\bar{g} = (2D + \sigma K_S) \cdot D \geq (2e - \sigma B - 2\sigma)\sigma,$$

where $\omega_1 = 2\bar{g} - D^2$.

If $B \geq 2$ then $e = \sigma B + u$; thus $\sigma = \frac{e-u}{B}$ and

$$2e - \sigma B - 2\sigma = \left(1 - \frac{2}{B}\right)e + \left(1 + \frac{2}{B}\right)u.$$

Therefore,

$$(2) \quad (\sigma - 2)\omega_1 + 4\bar{g} \geq \left(\left(1 - \frac{2}{B}\right)e + \left(1 + \frac{2}{B}\right)u\right)\sigma \geq \left(1 - \frac{2}{B}\right)e\sigma.$$

Hence,

$$\left(1 - \frac{2}{B}\right)e \leq \frac{\sigma - 2}{\sigma}\omega_1 + \frac{4\bar{g}}{\sigma}.$$

Thus,

$$\left(1 - \frac{2}{B}\right)e \leq \frac{\sigma - 2}{\sigma}\omega - \left(1 - \frac{6}{\sigma}\right)\bar{g}.$$

Hence, if $B \geq 3$, $g > 0$ and $\sigma \geq 6$, then

$$\left(1 - \frac{2}{B}\right)e \leq \frac{\sigma - 2}{\sigma}\omega,$$

and so

$$(3) \quad e \leq \frac{B}{B-2} \frac{\sigma-2}{\sigma} \omega < \frac{B}{B-2} \omega \leq 3\omega.$$

Thus we obtain the next result:

Proposition 2. *If $g > 0$, $\sigma \geq 7$ and $B \geq 3$ then $(1 - \frac{2}{B})e < \omega$.*

3.2. case when $g = 0$.

When $g = 0$, we get

$$(\sigma - 2)(\omega + 1) - 4 = (\sigma - 2)\omega_1 + 4\bar{g} \geq \left(\left(1 - \frac{2}{B}\right)e + \left(1 + \frac{2}{B}\right)u\right)\sigma.$$

From this, it follows that

$$\frac{(\sigma - 2)(\omega + 1) - 4}{\sigma} = \left(1 - \frac{2}{\sigma}\right)\omega + 1 - \frac{6}{\sigma} \geq \left(1 - \frac{2}{B}\right)e + \left(1 + \frac{2}{B}\right)u.$$

We shall prove the following result.

Proposition 3. *If $g = 0, \sigma \geq 7$ and $B \geq 3$ then $(1 - \frac{2}{B})e < \omega$.*

Proof.

Supposing that $(1 - \frac{2}{B})e \geq \omega$, we shall derive $u = 0$. Actually, by hypothesis,

$$\frac{(\sigma - 2)(\omega + 1) - 4}{\sigma} = (1 - \frac{2}{\sigma})\omega + 1 - \frac{6}{\sigma} \geq \omega + (1 + \frac{2}{B})u.$$

Thus

$$(4) \quad 1 > \frac{2\omega}{\sigma} + \frac{6}{\sigma} + u + \frac{2}{B} > u \geq 0.$$

Therefore, $u = 0$; thus $e = \sigma B$ and so $\sigma = \frac{e}{B}$. Moreover, recalling the inequality (2),

$$\left(\frac{e}{B} - 2\right)\omega_1 - 4 = (\sigma - 2)\omega_1 + 4\bar{g} \geq \left(1 - \frac{2}{B}\right)\frac{e^2}{B},$$

and so

$$(e - 2B)\omega > \left(1 - \frac{2}{B}\right)e^2 + 6B - e.$$

Finally, we obtain

$$(5) \quad \omega > \left(1 - \frac{2}{B}\right)e + 2B - 5 + \frac{4B(B - 1)}{e - 2B} > \left(1 - \frac{2}{B}\right)e.$$

Therefore, if $B \geq 3$, then

$$e < \frac{B}{B - 2}\omega \leq 3\omega.$$

q.e.d.

4. FUNDAMENTAL EQUALITIES

By putting $X = \sum_{j=1}^r \nu_j^2$ and $Y = \sum_{j=1}^r \nu_j$, we obtain

- $X = \tilde{B}\sigma + \omega - 3\bar{g}$,
- $Y = \tilde{B} + 2\sigma + \omega - \bar{g}$.

Here, $\tilde{B} = 2e - \sigma B$.

(1) $B = 0$. Then $\sigma = 2\nu_1 + p$, $e = \sigma + u$ for some $u \geq 0$ and

- $\tilde{B} + 2\sigma = 8\nu_1 + 4p + 2u$,
- $\tilde{B}\sigma = 8\nu_1^2 + 2\nu_1(4p + 2u) + 2pu + 2p^2$.

(2) $B = 1$. Then $\sigma = 2\nu_1 + p$, $e = \sigma + \nu_1 + u$ for $u \geq 0$,

- $\tilde{B} + 2\sigma = 8\nu_1 + 3p + 2u$,
- $\tilde{B}\sigma = 8\nu_1^2 + 2\nu_1(3p + 2u) + 2pu + p^2$.

(3) $B = 2$. Then $\sigma = 2\nu_1 + p$, $e = 2\sigma + u$ for some $u \geq 0$ and

- $\tilde{B} + 2\sigma = 8\nu_1 + 4p + 2u$,
- $\tilde{B}\sigma = 8\nu_1^2 + 2\nu_1(4p + 2u) + 2pu + 2p^2$.

Defining $w = 4 - \delta_{1B}$, we get $w = 4$ if $B \neq 1$. Further, $w = 3$ if $B = 1$. Introducing an invariant k by $k = wp + 2u$, we have

- $\tilde{B} + 2\sigma = 8\nu_1 + k$,
- $\tilde{B}\sigma = 8\nu_1^2 + 2k\nu_1 + p(k - 2p)$.

Proposition 4. *Letting k be $wp + 2u$, w being $4 - \delta_{1B}$, we have the following ($B \leq 2$) fundamental equalities:*

- $X = 8\nu_1^2 + 2k\nu_1 + \tilde{k} + \omega_1 - 2\bar{g}$,
- $Y = 8\nu_1 + k + \omega_1$.

Here $\tilde{k} = kp - 2p^2$.

4.1. **invariant $\tilde{\mathcal{Z}}$.** Compute $\tilde{\mathcal{Z}} = \nu_1 Y - X$.

$$0 \leq \tilde{\mathcal{Z}} = \nu_1(\omega - \bar{g} - k) - \tilde{k} - \omega_1 + 2\bar{g}.$$

$$(6) \quad \tilde{\mathcal{Z}} = \sum_{j=2}^{\nu_1-1} j(\nu_1 - j)t_j$$

Here, t_j denotes the number of j -ple singular points. ($\tilde{\mathcal{Z}}$ equation)

Defining the invariant λ to be $k - \omega_1$, we obtain

$$(7) \quad \nu_1 \lambda \leq -\tilde{k} - \omega_1 + 2\bar{g}.$$

5. INVARIANT A_1

Introduce an invariant A_1 by putting $A_1 = A - \bar{g}$, which satisfies

$$A_1 = \frac{(2Z - D) \cdot Z}{2} - \frac{D \cdot Z}{2} = Z \cdot K_S.$$

By the way,

$$\omega_1 = \omega - \bar{g} = D \cdot K_S;$$

hence, $A_1 - \omega_1 = K_S^2 = 8 - r$.

If $B \leq 2$ then we have the fundamental equalities

- $X = 8\nu_1^2 + 2k\nu_1 + \tilde{k} + \omega_1 - 2\bar{g}$,
- $Y = 8\nu_1 + k + \omega_1$,

from which, we get

$$Y = 8\nu_1 + \omega_1 = 8\nu_1 + A_1 + r - 8 = 8\bar{\nu}_1 + r + A_1,$$

where $\bar{\nu}_1 = \nu_1 - 1$.

Introduce invariants $\bar{\nu}_j$ and \bar{Y} by $\bar{\nu}_j = \nu_j - 1$ and $\bar{Y} = \sum_{j=1}^r \bar{\nu}_j$.

Then $\bar{Y} = Y - r$ and

$$\bar{Y} = 8\bar{\nu}_1 + k + A_1.$$

Introduce an invariant \bar{X} by

$$\bar{X} = \sum_{j=1}^r \bar{\nu}_j^2 = X - 2Y + r,$$

which satisfies that

$$\bar{X} = 8\bar{\nu}_1^2 + 2k\bar{\nu}_1 + \tilde{k} - A_1 - 2\bar{g}.$$

Then

$$\mathcal{Z}^* = \bar{\nu}_1 \bar{Y} - \bar{X} = \sum_{j=2}^{\nu_1-1} (\nu_1 - j)(j - 1)t_j.$$

Moreover, denoting $B - 2$ by B_2 , (here $B > 1$)

$$0 \leq \mathcal{Z}^* = B_2 \sigma (2 + \bar{\nu}_1 - \sigma) - \bar{\nu}_1 k - \tilde{k} + \nu_1 A_1 + 2\bar{g}.$$

we obtain

$$B_2 \sigma (\sigma - 2 - \bar{\nu}_1) \leq -\bar{\nu}_1 k - \tilde{k} + \nu_1 A_1 + 2\bar{g}.$$

Proposition 5. *If $B \geq 3$, then*

$$(8) \quad \sigma(\sigma - 2 - \bar{\nu}_1) \leq \nu_1 A_1 + 2\bar{g} - \bar{\nu}_1 k - \tilde{k}.$$

Introducing an invariant λ^* by $\lambda^* = k - A_1$, we obtain

$$(9) \quad 0 \leq \mathcal{Z}^* = B_2 \sigma(2 + \bar{\nu}_1 - \sigma) - \lambda^* \bar{\nu}_1 + A_1 + 2\bar{g} - \tilde{k}.$$

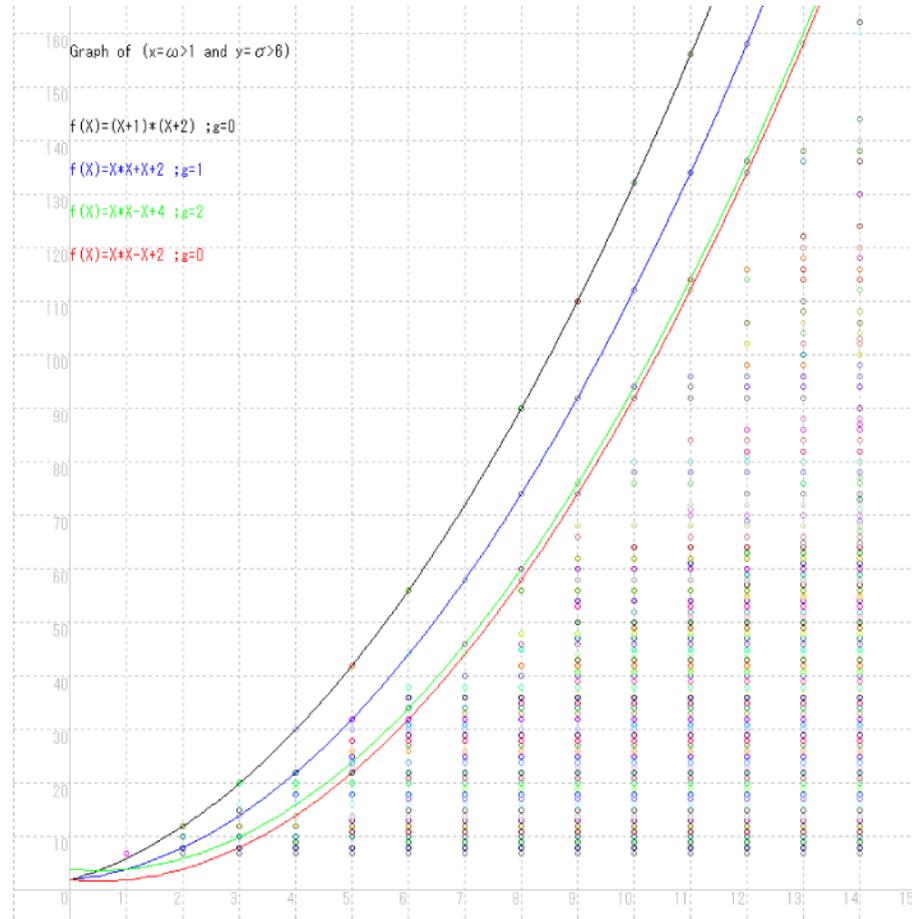


FIGURE 10

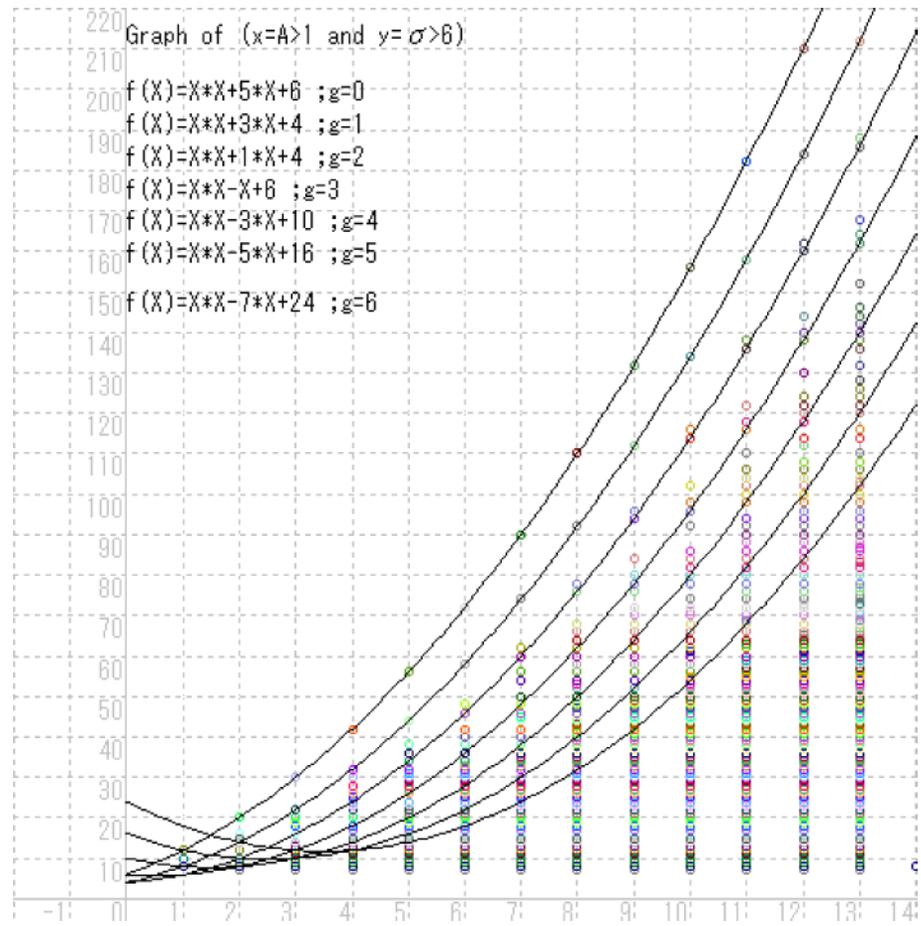


FIGURE 11

Proposition 6. *If $B \leq 2$, then*

$$(10) \quad \lambda^* \bar{\nu}_1 \leq A_1 + 2\bar{g} - \tilde{k}.$$

5.1. lemma.

Lemma 3. *Suppose that (S, D) is minimal.*

(1) *If $B = 0$ or 2 then*

$$\sigma A_1 + 4\bar{g} = \sigma Z^2 - 2(\sigma - 2)\bar{g} = (2D + \sigma K_S) \cdot Z \geq 0.$$

(2) *If $B = 1$ then either $\sigma A_1 + 4\bar{g} \geq 0$ or*

$$eA_1 + 6\bar{g} = (3D + eK_S) \cdot Z \geq 0.$$

(3) *If $B \geq 2$ then $\sigma A_1 + 4\bar{g} \geq \sigma(\sigma - 2)(B - 2)$.*

(4) *If $B \geq 3$ then $\sigma A_1 + 4\bar{g} \geq \sigma(\sigma - 2)$; in particular,*

$$\sigma A_1 + 4\bar{g} = \sigma Z^2 - 2(\sigma - 2)\bar{g} \geq \sigma(\sigma - 2).$$

5.2. lower estimate of A . Assuming $B \geq 3$, we shall obtain the lower estimate of A .

Proposition 7. *If $B \geq 3$ and $\nu_1 \geq 4$ then $A \geq 7$.*

*If $A = 7$ then the type is either $[8*24, 3; 4^{12}, 3, 2^\delta]$ or $[8*25, 3; 4^{14}]$ where $\delta = 0, 1, 2$.*

Proof. omitted.

6. ESTIMATE OF e IN TERMS OF A

Replacing σ by $\frac{e-u}{B}$, we get

$$2e - B\sigma - 2\sigma = e\left(1 - \frac{2}{B}\right) + u\left(1 + \frac{2}{B}\right)$$

and then

$$\begin{aligned}\sigma A_1 + 4\bar{g} &= \sigma A - (\sigma - 4)\bar{g} \\ &\geq (2e - B\sigma - 2\sigma)(\sigma - 2) \\ &= e\left(1 - \frac{2}{B}\right)(\sigma - 2) + u\left(1 + \frac{2}{B}\right)(\sigma - 2).\end{aligned}$$

Hence, supposing that $\sigma \geq 5$, we get

$$(11) \quad \sigma A - (\sigma - 4)\bar{g} \geq e\left(1 - \frac{2}{B}\right)(\sigma - 2) + u\left(1 + \frac{2}{B}\right)(\sigma - 2).$$

Thus, when $g > 0$,

$$(12) \quad \sigma A \geq e\left(1 - \frac{2}{B}\right)(\sigma - 2);$$

In other words,

$$(13) \quad e \leq \frac{B\sigma}{(B - 2)(\sigma - 2)}.$$

6.1. **case when $g = 0$.**

Next, we shall show the inequality : $e \leq \frac{B\sigma}{(B-2)(\sigma-2)}$ even if $g = 0$.

First assume that $g = 0$ and $e > \frac{B\sigma}{(B-2)(\sigma-2)}A$.

From the inequality

$$(14) \quad \sigma A \geq e\left(1 - \frac{2}{B}\right)(\sigma - 2) + \left(1 + \frac{2}{B}\right)u(\sigma - 2) + \sigma - 4\bar{g},$$

It follows that

$$e \frac{(B-2)(\sigma-2)}{B} > \sigma A \geq e\left(1 - \frac{2}{B}\right)(\sigma - 2) + \left(1 + \frac{2}{B}\right)u(\sigma - 2) + \sigma - 4\bar{g}.$$

Since $g = 0$, it follows that

$$0 \geq \left(1 + \frac{2}{B}\right)u(\sigma - 2) + (\sigma - 4\bar{g})(\sigma - 2) = \left(1 + \frac{2}{B}\right)u(\sigma - 2) + 4 - \sigma.$$

Hence,

$$(15) \quad 1 > \frac{\sigma - 4}{(\sigma - 2)} > u + \frac{2u}{B} \geq u \geq 0.$$

Therefore, $u = 0$ and so $e = B\sigma$. Hence,

$$\sigma A \geq e\left(1 - \frac{2}{B}\right)(\sigma - 2) + 4 - \sigma = (B - 2)\sigma(\sigma - 2) + 4 - \sigma.$$

In other words,

$$A \geq (B - 2)(\sigma - 2) + \frac{4}{\sigma} - 1.$$

By hypothesis, $-1 < \frac{4}{\sigma} - 1 \leq 0$.

Hence, by $e = B\sigma$, we get

$$A \geq (B - 2)(\sigma - 2) = e \frac{(\sigma - 2)(B - 2)}{B\sigma}.$$

Thus,

$$A \geq (B - 2)(\sigma - 2) = e \frac{(\sigma - 2)(B - 2)}{B\sigma}.$$

Consequently,

$$(16) \quad e \leq \frac{B\sigma}{(B - 2)(\sigma - 2)} A.$$

If $B \geq 4$ and $\sigma \geq 6$, then

$$(17) \quad e \leq \frac{B\sigma}{(B - 2)(\sigma - 2)} A \leq \frac{4}{2} \times \frac{6}{4} A = 3A.$$

Thus we obtain the next estimate.

Proposition 8. *If $B \geq 4$ and $\sigma \geq 6$, then*

$$(18) \quad e \leq 3A.$$

Next, we shall establish that even if $B \geq 3$, then $e \leq 3A$ except for certain cases.

7. NONBIRATIONAL INVARIANT e

Proposition 9. *If $g = 0$, $\sigma \geq 7$ and $B \geq 3$ then $e < \frac{B}{B-2}\omega$.*

Therefore, if $B \geq 3$,then

$$e < \frac{B}{B-2}\omega \leq 3\omega.$$

Assume $B \leq 2$.

1) $B = 0$.

$$(19) \quad e < \omega_1^2 + \omega_1 + 2 + 2\bar{g}.$$

$$(20) \quad e < \omega^2 + 3\omega + 2.$$

2) $B = 1$.

$$(21) \quad e < \frac{3}{2}(\omega_1^2 + \omega_1 + 2 + 2\bar{g}).$$

$$(22) \quad e < \frac{3}{2}(\omega^2 + 3\omega + 2).$$

3) $B = 2$.

$$(23) \quad e < 2(\omega_1^2 + \omega_1 + 2 + 2\bar{g}).$$

$$(24) \quad e < 2(\omega^2 + 3\omega + 2).$$

7.1. case when $e > 3A$.

In that follows, we assume $e > 3A$ and $\sigma \geq 6$.

By Proposition 8, we may assume that $B = 3$. Then

$$0 \leq \mathcal{Z}^* = \sigma(2 + \bar{\nu}_1 - \sigma) - \bar{\nu}_1 k - \tilde{k} + \nu_1 A_1 + 2\bar{g}.$$

Recalling that $\sigma = p + 2\bar{\nu}_1 + 2$, we get

$$2 + \bar{\nu}_1 - \sigma = 2 + \bar{\nu}_1 - (p + 2\bar{\nu}_1 + 2) = -p - \bar{\nu}_1.$$

Hence,

$$\begin{aligned}
\mathcal{Z}^* &= -\sigma(p + \bar{\nu}_1) - \bar{\nu}_1 k - \tilde{k} + \nu_1 A_1 + 2\bar{g} \\
&= \bar{\nu}_1(-\sigma - k + A_1) - \tilde{k} - p\sigma + A_1 + 2\bar{g} \\
&= \bar{\nu}_1(-\sigma - k + A) - \tilde{k} - p\sigma + A + \bar{g}(1 - \bar{\nu}_1).
\end{aligned}$$

But $A - \sigma < \frac{u}{3}$ and $-\sigma - k + A < \frac{u}{3} - k = -4p - \frac{5u}{3}$.

Therefore, since $A < \sigma + \frac{u}{3} = p + \nu_1 + \frac{u}{3}$, it follows that

$$\begin{aligned}
0 \leq \mathcal{Z}^* &< -\bar{\nu}_1\left(4p + \frac{5u}{3}\right) - \tilde{k} - p\sigma + A + \bar{g}(1 - \bar{\nu}_1) \\
&< -\bar{\nu}_1\left(4p + \frac{5u}{3} - 2\right) - \tilde{k} - p\sigma + \frac{u}{3} + p + 2 + \bar{g}(1 - \bar{\nu}_1).
\end{aligned}$$

Thus,

$$0 \leq \bar{\nu}_1(4p + \frac{5u}{3} - 2) < -\tilde{k} + \frac{u}{3} + p + 2 - p\sigma + \bar{g}(1 - \bar{\nu}_1).$$

Supposing that $p > 0$, we shall derive a contradiction.

$4p + \frac{5u}{3} - 2 > 0$ and

$$\begin{aligned} -\tilde{k} + \frac{u}{3} + p + 2 &= -p(k - 2p) + \frac{u}{3} + p + 2 \\ &= -p(2p + 2u) + \frac{u}{3} + p + 2 \\ &= -2p^2 + 2 + p - pu - pu + \frac{u}{3} < 0, \end{aligned}$$

except for $p = 1$ and $u = 0$.

7.2. **case in which $p = 1$ and $u = 0$.** However, if $p = 1$ and $u = 0$ then $\sigma = 1 + 2\nu_1$, $k = 4$, $\tilde{k} = 1$, $A \leq 2\sigma - 1 = 2\nu_1$. Thus,

$$\begin{aligned}\mathcal{Z}^* &= \bar{\nu}_1(-\sigma - k + A) - \tilde{k} - p\sigma + A + \bar{g}(1 - \bar{\nu}_1) \\ &= \bar{\nu}_1(-1 - 2\nu_1 - 4 + A) - 1 - (1 + 2\nu_1) + A + \bar{g}(1 - \bar{\nu}_1) \\ &\leq -5\bar{\nu}_1 - 2 + \bar{g}(1 - \bar{\nu}_1).\end{aligned}$$

But, if $\bar{g} \geq 0$, then

$$-5\bar{\nu}_1 - 2 + \bar{g}(1 - \bar{\nu}_1) \leq -5\bar{\nu}_1 - 2 + (1 - \bar{\nu}_1).$$

$$0 \leq \bar{\nu}_1(4p + \frac{5u}{3} - 2) < 0.$$

This is a contradiction.

By $p = 0$, we have

$$\begin{aligned} \mathcal{Z}^* &= -\sigma(p + \bar{\nu}_1) - \bar{\nu}_1 k - \tilde{k} + \nu_1 A_1 + 2\bar{g} \\ &= -2\nu_1(\bar{\nu}_1) - 2\bar{\nu}_1 u + \nu_1 A_1 + 2\bar{g} \\ &= -2\bar{\nu}_1(u + \nu_1) + \nu_1 A_1 + 2\bar{g} \\ &= -2\nu_1(\bar{\nu}_1 + u) + \nu_1 A_1 + 2\bar{g}. \end{aligned}$$

From the inequality (11), we have

$$\begin{aligned}\sigma A &\geq e\left(1 - \frac{2}{B}\right)(\sigma - 2) + u\left(1 + \frac{2}{B}\right)(\sigma - 2) + (\sigma - 4)\bar{g} \\ &= \frac{e(\sigma - 2)}{3} + \frac{5u(\sigma - 2)}{3} + (\sigma - 4)\bar{g}.\end{aligned}$$

Thus

$$(25) \quad 3\sigma A \geq e(\sigma - 2) + 5u(\sigma - 2) + 3(\sigma - 4)\bar{g}.$$

Since $e > 3\sigma$ by hypothesis, it follows that

$$e\sigma \geq e(\sigma - 2) + 5u(\sigma - 2) + 3(\sigma - 4)\bar{g}.$$

Hence,

$$2e \geq 5u(\sigma - 2) + 3(\sigma - 4)\bar{g}.$$

Since $p = 0$ we obtain

$$\begin{aligned} 2e = 2(3\sigma + u) &\geq 5u(\sigma - 2) + 3(\sigma - 4)\bar{g} \\ &= 10u(\nu_1 - 1) + 6(\nu_1 - 2)\bar{g}. \end{aligned}$$

Hence,

$$2(6\nu_1 + u) \geq 10u(\nu_1 - 1) + 6(\nu_1 - 2)\bar{g}.$$

Thus,

$$\begin{aligned} 12u &\geq 10u\nu_1 + 6\nu_1\bar{g} - 12\bar{g} - 12\nu_1; \\ 6u + 6\nu_1 &\geq 5u\nu_1 + 3\nu_1\bar{g} - 6\bar{g}. \end{aligned}$$

Therefore,

$$(26) \quad 6u + 6\bar{g} \geq 5u\nu_1 + 3\nu_1\bar{g} - 6\nu_1 = (5u + 3\bar{g} - 6)\nu_1.$$

Thus we have the next two cases to examine, separately.

1) $5u + 3\bar{g} - 6 < 0$. Then $u = 0, 1, 2$.

Suppose that $u = 1$. Then

$$\bar{g} \leq 2 - \frac{5u}{3} = \frac{1}{3} < 1.$$

Hence, $\bar{g} = 0, -1$.

(i) $\bar{g} = 0$.

Then

$$\begin{aligned} \mathcal{Z}^* &= -2\nu_1(\bar{\nu}_1 + u) + \nu_1 A_1 + 2\bar{g} + 2u \\ &= 2\nu_1(1 - \nu_1) - 2(\nu_1 - 1) + \nu_1 A_1. \end{aligned}$$

Since $A \leq \sigma - 1 = 2\nu_1 - 1$, it follows that

$$2\nu_1(1 - \nu_1) - 2(\nu_1 - 1) + \nu_1 A_1 \leq 2\nu_1(1 - \nu_1) - 2(\nu_1 - 1) < 0.$$

Thus $\mathcal{Z}^* < 0$, a contradiction.

(ii) $\bar{g} = -1$. Then $A_1 = A + 1 \leq 2\nu_1$ and so

$$\begin{aligned}\mathcal{Z}^* &= -2\nu_1(\bar{\nu}_1 + u) + \nu_1 A_1 + 2\bar{g} \\ &= -2\nu_1(2\nu_1 - 1) + \nu_1(A_1) - 2 \\ &\leq -2\nu_1(2\nu_1 - 1) + \nu_1(2\nu_1) - 2 \\ &= 0.\end{aligned}$$

Thus, $\mathcal{Z}^* = 0$. Hence, $e = 3\sigma + 1 = 6\nu_1 + 1$, $\tilde{B} = 2e - 3\sigma = 3\sigma + 2$. Since $A = 2\nu_1 - 1$, it follows that $e - 3A = 4 > 0$.

$$g = 3(2\nu_1 - 1)\nu_1 - r \frac{\nu_1(\nu_1 - 1)}{2} = 0.$$

Therefore,

$$(27) \quad r = \frac{6(2\nu_1 - 1)}{\nu_1 - 1} = 12 + \frac{6}{\nu_1 - 1}.$$

From this, it follows that $\nu_1 - 1 = 2, 3, 6$ and we obtain the following types:

- (1) The type is $[6 * 19, 3; 3^{15}]$, $A = 5$.
- (2) The type is $[8 * 25, 3; 4^{14}]$, $A = 7$.
- (3) The type is $[12 * 37, 3; 7^{13}]$, $A = 11$.

Suppose that $u = 2$. Then

$$\bar{g} \leq 2 - \frac{5u}{3} = \frac{-4}{3} < 1.$$

Hence, $\bar{g} < -1$, a contradiction.

Therefore, $u = 0$.

$$2) 5u + 3\bar{g} - 6 \geq 0.$$

Then since $\sigma = 2\nu_1 \geq 6$, it follows that $\nu_1 \geq 3$ and thus

$$(28) \quad 6u + 6\bar{g} \geq (5u + 3\bar{g} - 6)\nu_1 \geq 3(5u + 3\bar{g} - 6).$$

This implies the next inequality:

$$6 \geq 3u + \bar{g} \geq 3u - 1.$$

Hence, $u \leq 2$ and $\bar{g} \leq 6 - 3u$.

Moreover,

$$\begin{aligned}\mathcal{Z}^* &= -2\nu_1(\bar{\nu}_1 + u) + \nu_1 A_1 + 2\bar{g} + 2u \\ &= -\nu_1(2(\bar{\nu}_1 + u) - A_1) + 2\bar{g} + 2u.\end{aligned}$$

Then $A_1 = A - \bar{g} \leq 2\nu_1 - 1 - \bar{g}$ and so

$$2(\bar{\nu}_1 + u) - A_1 \geq 2(\bar{\nu}_1 + u) - 2\nu_1 + 1 + \bar{g} = 2u - 1 + \bar{g}.$$

Hence,

$$\begin{aligned}\mathcal{Z}^* &= -\nu_1(2(\bar{\nu}_1 + u) - A_1) + 2\bar{g} + 2u \\ &\leq -\nu_1(2u - 1 + \bar{g}) + 2\bar{g} + 2u \\ &= -\nu_1(2u - 1) + 2u - \nu_1\bar{g} + 2\bar{g} \\ &< 0.\end{aligned}$$

This is absurd. Thus, $k = 0$ is proved.

7.3. case when $k = 0$.

$\varepsilon = 2\nu_1 - 1 - A$ satisfies $\varepsilon \geq 0$, since $A \leq \sigma - 1 = 2\nu_1 - 1$. From

$$(29) \quad 0 \leq \mathcal{Z}^* = \nu_1(2 + A - 2\nu_1 - \bar{g}) + 2\bar{g},$$

it follows that

$$\begin{aligned} \mathcal{Z}^* &= \nu_1(1 - \varepsilon - \bar{g}) + 2\bar{g} \\ &= \nu_1(1 - \varepsilon) + \bar{g}(2 - \nu_1). \end{aligned}$$

If $\bar{g} \geq 0$, then

$$\mathcal{Z}^* = \nu_1(1 - \varepsilon) + \bar{g}(2 - \nu_1) \leq \nu_1(1 - \varepsilon).$$

Hence, $\varepsilon \leq 1$.

Otherwise,

$$\mathcal{Z}^* = \nu_1(1 - \varepsilon) - (2 - \nu_1) = \nu_1(2 - \varepsilon) - 2.$$

Hence, $\varepsilon \leq 1$.

Thus we have the following cases:

(1) $\varepsilon = 0, \mathcal{Z}^* = \nu_1 + \bar{g}(2 - \nu_1)$

(a) $\bar{g} = -1, \mathcal{Z}^* = 2\nu_1 - 2,$

(b) $\bar{g} = 0, \mathcal{Z}^* = \nu_1,$

(c) $\bar{g} = 1, \mathcal{Z}^* = 2,$

(d) $\bar{g} = 2, \mathcal{Z}^* = 4 - \nu_1,$

(e) $\bar{g} = 3, \mathcal{Z}^* = 2(3 - \nu_1).$

(2) $\varepsilon = 1, \mathcal{Z}^* = \bar{g}(2 - \nu_1)$

(a) $\bar{g} = -1, \mathcal{Z}^* = \nu_1 - 2,$

(b) $\bar{g} = 0, \mathcal{Z}^* = 0,$

(c) $\bar{g} = 1, \mathcal{Z}^* = 2 - \nu_1.$

7.4. **case when** $\varepsilon = 0, \bar{g} = -1$.

In this case, $A = 2\nu_1 - 1, g = 0$.

If $\nu_1 = 3$, then $\mathcal{Z}^* = t_2$ and so $t_2 = 4$. The type is $[6 * 18, 3; 3^{t_3}, 2^4]$.
 $g = 5 \cdot 8 - 3t_3 - 4 = 0$. Hence, $t_3 = 12$ and the type becomes $[6 * 18, 3; 3^{12}, 2^4]$ and $Z^2 = 4$.

If $\nu_1 = 4$, then $\mathcal{Z}^* = 2(t_2 + t_3)$ and so $t_2 + t_3 = 3$. The type is $[8 * 24, 3; 4^{t_4}, 3^{t_3}, 2^{t_2}]$.

$g = 7 \cdot 11 - 6t_4 - 3t_3 - t_2 = 0$. Hence, $37 = 3t_4 + t_3$. Then $t_3 = 1, t_4 = 12$ and the type becomes $[8 * 24, 3; 4^{12}, 3, 2^2]$. $Z^2 = 6$.

If $\nu_1 > 4$, then $\mathcal{Z}^* > \nu_1 - 2 + 2(\nu_1 - 3)$. From $\mathcal{Z}^* = 2\nu_1 - 2$, it follows that $\nu_1 \leq 6$. Hence, $\nu_1 = 5$ or 6 .

If $\nu_1 = 5$, then $\mathcal{Z}^* = 3(t_2 + t_4) + 4t_3$ and so $3(t_2 + t_4) + 4t_3 = 8$. Then $t_3 = 2$ and the type is $[10 * 30, 3; 5^{t_5}, 3^2]$. $g = 126 - (10t_5 + 6) = 0$. From this it follows that $t_5 = 12$ and the type becomes $[10 * 30, 3; 5^{12}, 3^2]$.

If $\nu_1 = 6$, then $\mathcal{Z}^* = 4(t_2 + t_5) + 6(t_3 + t_4) = 10$ and so $t_2 + t_5 = t_3 + t_4 = 1$. Then the type is $[12 * 36, 3; 6^{t_6}, 5^{t_5}, 4^{t_4}, 3^{t_3}, 2^{t_2}]$.

- $g = 187 - (15t_6 + 10t_5 + 6t_4 + 3t_3 + t_2) = 0,$
- $t_2 + t_5 = t_3 + t_4 = 1.$

These imply $t_2 = 1, t_4 = 1, t_6 = 12.$ The type is $[12 * 36, 3; 6^{12}, 4, 2].$

7.5. case when $\varepsilon = 0, \bar{g} = 0$.

In this case, $A = 2\nu_1 - 1, g = 1$ and $\mathcal{Z}^* = \nu_1$. Then $\nu_1 = \mathcal{Z}^* \geq 2(\nu - 3)$. Hence, $\nu_1 \leq 6$.

We have the four cases to examine, separately.

(1) $\nu_1 = 3$. Then $\mathcal{Z}^* = t_2 = 3$. The type is $[6 * 18, 3; 3^{t_3}, 2^3]$.

$g = 5 \cdot 8 - 3t_3 - 3 = 1$. Hence, $t_3 = 12$ and the type becomes $[6 * 18, 3; 3^{12}, 2^3]$ and $Z^2 = 5$.

(2) $\nu_1 = 4$. Then $\mathcal{Z}^* = 2(t_2 + t_3) = 4$ and so $t_2 + t_3 = 2$. The type is $[8 * 24, 3; 4^{t_4}, 3^{t_3}, 2^{t_2}]$.

$g = 7 \cdot 11 - 6t_4 - 3t_3 - t_2 = 1$. Hence, $37 = 3t_4 + t_3$. Then $t_3 = 1, t_4 = 12$ and the type becomes $[8 * 24, 3; 4^{12}, 3, 2]$. $Z^2 = 6 \cdot 20 - 9 \cdot 12 - 4 - 1 = 7, A = Z^2 = 7$.

(3) $\nu_1 = 5$. Then $\mathcal{Z}^* = 3(t_2 + t_4) + 4t_3 = 4$ and so $t_3 = 1, t_2 = t_4 = 0$. The type is $[10 * 30, 3; 5^{t_5}, 3]$.

$g = 9 \cdot 14 - 10t_5 - 3 = 1$, which has no solution.

(4) $\nu_1 = 6$. Then $\mathcal{Z}^* = 4(t_2 + t_5) + 6(t_3 + t_4) = 6$ and so $t_3 + t_4 = 1, t_2 = t_5 = 0$. The type is $[12 * 36, 3; 6^{t_6}, 4^{t_4}, 3^{t_3}]$.

- $g = 187 - (6t_4 + 3t_3) = 1$,

- $t_3 + t_4 = 1$.

These imply $t_4 = 1, t_6 = 12$. The type is $[12 * 36, 3; 6^{12}, 4]$.

7.6. **case when** $\varepsilon = 0, \bar{g} = 1$.

In this case, $A = 2\nu_1 - 1, g = 2$ and $\mathcal{Z}^* = 2$. From $\mathcal{Z}^* \geq nu_1 - 2$, it follows that $\nu_1 = 3$ or 4 .

If $\nu_1 = 3$, then $\mathcal{Z}^* = t_2 = 2$. The type is $[6 * 18, 3; 3^{t_3}, 2^2]$.

$g = 5 \cdot 8 - 3t_3 - 3 = 2$. Hence, $t_3 = 12$ and the type becomes $[6 * 18, 3; 3^{12}, 2^2]$ and $Z^2 = 5$.

If $\nu_1 = 4$, then $\mathcal{Z}^* = 2(t_2 + t_3) = 2$ and so $t_2 + t_3 = 1$. The type is $[8 * 24, 3; 4_4^t, 3^{t_3}, 2^{t_2}]$.

$g = 7 \cdot 11 - 6t_4 - 3t_3 - t_2 = 2$. Hence, $37 = 3t_4 + t_3$. Then $t_3 = 1, t_4 = 12$ and the type becomes $[8 * 24, 3; 4^{12}, 3]$

7.7. **case when** $\varepsilon = 0, \bar{g} = 2$.

In this case, $A = 2\nu_1 - 1, g = 3$ and $\mathcal{Z}^* = 4 - \nu_1$.

If $4 \neq \nu_1$ then $4 - \nu_1 \geq \nu_1 - 2$; thus $\nu_1 = 3$.

If $\nu_1 = 4$, then $\mathcal{Z}^* = 0$ and so $t_3 = 0$. The type is $[8 * 24, 3; 4^{t_4}]$.
 $g = 7 \cdot 11 - 6t_4 = 3$, which has no solution.

If $\nu_1 = 3$, then $\mathcal{Z}^* = 1$. Then $t_2 = 1$ and The type is $[6 * 18, 3; 3^{t_3}, 2]$.

$g = 5 \cdot 8 - 3t_3 - 3 = 3$. Hence, $t_3 = 12$ and the type becomes $[6 * 18, 3; 3^{12}, 2]$ and $Z^2 = 6$.

7.8. case when $\varepsilon = 0, \bar{g} = 3$.

In this case, $A = 2\nu_1 - 1, g = 4$ and $\mathcal{Z}^* = 2(3 - \nu_1)$.

Then $\nu_1 = 3$ and the type becomes $[6 * 18, 3; 3^{12}]$ and $Z^2 = 7$.

7.9. case when $\varepsilon = 1, \bar{g} = -1$.

In this case, $A = 2\nu_1 - 2, g = 0$ and $\mathcal{Z}^* = \nu_1 - 2$.

(1) $\nu_1 = 3$. Then $t_2 = 1$ and $g = 40 - 3t_3 - 1 = 0$. Hence, $t_3 = 13$ and the type is $[6 * 18; 3^{13}, 2]$. In this case, $Z^2 = 3, A = 4$. Here, $18 > 3A = 12$.

(2) $\nu_1 > 3$.

Since $\mathcal{Z}^* = \nu_1 - 2$, it follows that $t_2 + t_{\nu_1-1} = 1$.

Note that by $\nu_1 > 3$ we have

$$g = (2\nu_1 - 1)(3\nu_1 - 1) - \frac{\nu_1(\nu_1 - 1)}{2}t_{\nu_1} - t_2 - \frac{(\nu_1 - 2)(\nu_1 - 1)}{2}t_{\nu_1-1} = 0.$$

If $t_2 = 1$ then $t_{\nu_1-1} = 0$ and hence,

$$12\nu_1 - 10 - (\nu_1 - 1)t_{\nu_1} = 0.$$

Thus,

$$t_{\nu_1} = \frac{12\nu_1 - 10}{\nu_1 - 1} = 12 + \frac{2}{\nu_1 - 1}.$$

Therefore, $\nu_1 = 3$, a contradiction.

If $t_2 = 0$ then $t_{\nu_1-1} = 1$ and hence,

$$g = (2\nu_1 - 1)(3\nu_1 - 1) - \frac{\nu_1(\nu_1 - 1)}{2}t_{\nu_1} - \frac{(\nu_1 - 2)(\nu_1 - 1)}{2} = 0.$$

$$11\nu_1 - 7 - (\nu_1 - 1)t_{\nu_1} = 0.$$

Thus,

$$t_{\nu_1} = \frac{11\nu_1 - 7}{\nu_1 - 1} = 11 + \frac{4}{\nu_1 - 1}.$$

Therefore, $\nu_1 = 5$, because, $\nu_1 > 3, g = 126 - (10t_5 + 6) = 0$. The type becomes $[10 * 30, 3; 5^{12}, 4]$.

If $t_2 = 1$ then $t_{\nu_1-1} = 0$ and hence,

$$12\nu_1 - 10 - (\nu_1 - 1)t_{\nu_1} = 0.$$

Thus,

$$t_{\nu_1} = \frac{12\nu_1 - 10}{\nu_1 - 1} = 12 + \frac{2}{\nu_1 - 1}.$$

Therefore, $\nu_1 = 3$ and $t_3 = 13$. $g = 5 \cdot 8 - 3 \times 13 - t_2 = 0$. Hence, $t_2 = 1$ and the type becomes $[6 * 18, 3; 3^{12}, 2]$ and $Z^2 = 3$. Note that $A = 3 + 1 = 4 = 2\nu_1 - 2$.

If $t_2 = 0$ then $t_{\nu_1-1} = 1$ and hence,

$$g = (2\nu_1 - 1)(3\nu_1 - 1) - \frac{\nu_1(\nu_1 - 1)}{2}t_{\nu_1} - \frac{(\nu_1 - 2)(\nu_1 - 1)}{2} = 0.$$

$$11\nu_1 - 7 - (\nu_1 - 1)t_{\nu_1-1} = 0.$$

Thus,

$$t_{\nu_1} = \frac{11\nu_1 - 7}{\nu_1 - 1} = 11 + \frac{4}{\nu_1 - 1}.$$

Therefore, $\nu_1 = 5$ and $t_5 = 12, t_4 = 1$. Then $g = 126 - (10t_5 + 6) = 0$.
The type becomes $[10 * 30, 3; 5^{12}, 4]$.

7.10. **case when** $\varepsilon = 1, \bar{g} = 0$.

In this case, $A = 2\nu_1 - 2, g = 1$ and $\mathcal{Z}^* = 0$.

It follows that

$$g = (2\nu_1 - 1)(3\nu_1 - 1) - \frac{\nu_1(\nu_1 - 1)}{2}t_{\nu_1} = 1.$$

Hence,

$$12\nu_1 - 10 - (\nu_1 - 1)t_{\nu_1} = 0.$$

Thus,

$$t_{\nu_1} = \frac{12\nu_1 - 10}{\nu_1 - 1} = 12 + \frac{3}{\nu_1 - 1}.$$

Therefore, $\nu_1 = 4$ and $t_3 = 0$. The type is $[8 * 24, 3; 4^{t_4}]$. $g = 7 \cdot 11 - 6t_4 = 1$, which has no solution.

7.11. **case when** $\varepsilon = 1, \bar{g} = 1$.

But this case does not occur.

Therefore, we obtain the following

Theorem 5. *If $B \geq 3$ and $\sigma > 4$, then $e \leq 3A$ except for the following cases:*

(1) $u = 1$,

(a) *The type is $[6 * 19, 3; 3^{15}]$, $A = 5$.*

(b) *The type is $[8 * 25, 3; 4^{14}]$, $A = 7$.*

(c) *The type is $[12 * 37, 3; 7^{13}]$, $A = 11$.*

(2) $u = 0$,

(a) *The type is $[6 * 18, 3; 3^{12}, 2^\delta]$, $\delta \leq 4$, $A = 2\nu_1 - 1 = 5$,*

(b) *The type is $[8 * 24, 3; 4^{12}, 3, 2^\delta]$, $\delta \leq 2$, $A = 2\nu_1 - 1 = 7$,*

(c) *The type is $[10 * 30, 3; 5^{12}, 3^2]$, $A = 2\nu_1 - 1 = 9$,*

(d) *The type is $[12 * 36, 3; 6^{12}, 4, 2^\delta]$, $\delta \leq 1$, $A = 2\nu_1 - 1 = 11$,*