

# Birational characterization of nonsingular plane curves

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# 1 Introduction

We shall study algebraic plane curves  $C$  on the projective plane  $\mathbf{P}^2$  defined over the field of complex numbers. Birational maps between from  $\mathbf{P}^2$  into itself are called Cremona transformations. If  $C_1$  is a proper transform of  $C$  by a Cremona transformation, the pair  $(\mathbf{P}^2, C_1)$  is said to be birationally equivalent to  $(\mathbf{P}^2, C)$ . The purpose of this paper is to give certain conditions which characterize  $(\mathbf{P}^2, D)$  where  $D$  is a nonsingular curve, in the sense of birational equivalence.

In general, let  $C$  be a curve on a nonsingular projective surface  $S$ . Pairs  $(S, C)$  of  $S$  and  $C$  are objects of our study. Two pairs  $(S, C)$  and  $(S_1, C_1)$  are said to be birationally equivalent if there exists a birational map  $h : S \rightarrow S_1$  such that the proper transform  $h[C]$  coincides with  $C_1$ . If  $D$  is a nonsingular curve on  $S$ , then it is easy to check that  $\dim |mK_S + aD| + 1$ ,  $K_S$  being a canonical divisor on  $S$ , are birational invariants whenever  $m \geq a \geq 0$ .  $\dim |mK_S + aD| + 1$  are denoted by  $P_{m,a}[D]$ , which may be called mixed plurigenera of the pair  $(S, D)$ .  $P_{m,m}[D]$  turns out to be logarithmic plurigenera of an open surface  $S - D$ , denoted by  $\overline{P}_m(S - D)$ . For simplicity,  $P_{m,m}[D]$  is indicated by  $P_m[D]$ , by which Kodaira dimension of the pair  $(S, C)$ , written as  $\kappa[C]$ , is defined.

Hereafter,  $S$  is assumed to be a rational surface. Then  $P_1[D]$  coincides with the genus of  $D$ , denoted by  $g(D)$ . Making use of mixed plurigenera, we obtain the characterizations of a line and a nonsingular cubic as follows:

**Theorem 1** *Let  $(S, D)$  be a pair of a nonsingular projective surface  $S$  and a curve on  $S$ .*

*If  $P_{2,1}[D] = 0$  and  $g(D) = 0$  then  $(S, D)$  is birationally equivalent to  $(\mathbf{P}^2, L)$ ,  $L$  being a line.*

Note that the condition  $P_{2,1}[D] = 0$  and  $g(D) = 0$  is equivalent to  $P_2[D] = 0$ .

**Theorem 2** *If  $P_{2,1}[D] = 1$  and  $g(D) = 1$  then  $(S, D)$  is birationally equivalent to  $(\mathbf{P}^2, C_3)$ ,  $C_3$  being a nonsingular cubic.*

These results are mainly due to [1, p398,p404]. We shall extend his results into higher degree cases.

We begin with computing mixed plurigenera  $P_{m,a}[D]$  when  $(S, D) = (\mathbf{P}^2, C_d)$ ,  $C_d$  being a nonsingular curve of degree  $d$ . For  $m \geq a$  and  $d \geq 4$ ,

1.  $P_{m,a}[D] = \frac{(3m-1-ad)(3m-2-ad)}{2}$ ,
2.  $P_m[D] = \frac{((d-3)m+1)((d-3)m+2)}{2}$ ,
3.  $P_1[D] = \frac{(d-2)(d-1)}{2} = g(D)$ ,
4.  $P_2[D] = (d-2)(2d-5)$ ,

5.  $P_{2,1}[D] = \frac{(d-4)(d-5)}{2}$ ,
6.  $P_{3,1}[D] = \frac{(d-7)(d-8)}{2}$  where  $d \geq 7$ .

One can ask to what extent  $(S, D)$  is determined by its mixed plurigenera. Our purpose is to establish some characterizations of pairs of  $\mathbf{P}^2$  and nonsingular curves using two mixed plurigenera, which will be established in main results. For examples, if  $P_2[D] = 6$  and  $g = 3$  then  $(S, D)$  is birationally equivalent to  $(\mathbf{P}^2, C_4)$ .

The similar results are obtained for  $d = 6$ . However, in the case of  $d = 5$ , we have a counter example:

If  $P_2[D] = 10$  and  $g = 6$  then  $(S, D)$  is birationally equivalent to either  $(\mathbf{P}^2, C_5)$  or  $(\mathbf{P}^2, C'_6)$ , where  $C'_6$  is a plane curve of degree 6 with two singular points whose multiplicities are 2 and 3.

## 2 Some basic results

### 2.1 Minimal models

A non-singular pair  $(S, D)$  is said to be *relatively minimal*, whenever the intersection number  $D \cdot E \geq 2$  for any exceptional curve (of the first kind)  $E$  on  $S$  such that  $E \neq D$ . Moreover, the pair  $(S, D)$  is said to be *minimal*, if every birational map from any non-singular pair  $(S_1, D_1)$  into  $(S, D)$  turns out to be regular. Any relatively minimal pair  $(S, D)$  is minimal if  $\kappa[D] = 2$  (see Iitaka [5]).

Relatively minimal models of rational surfaces are the projective plane  $\mathbf{P}^2$  or  $\mathbf{P}^1 \times \mathbf{P}^1$  or a  $\mathbf{P}^1$ -bundle over  $\mathbf{P}^1$ , which has a section  $\Delta_\infty$  with negative self intersection number. The last surface is denoted by a symbol  $\Sigma_B$  where  $-B$  denotes the self intersection number  $\Delta_\infty^2$ . Here, we call  $\Sigma_B$  a Hirzebruch surface of degree  $B$  after Kodaira. The Picard group of  $\Sigma_B$  is generated by a section  $\Delta_\infty$  and a fiber  $F_c = \rho^{-1}(c)$  of the  $\mathbf{P}^1$ -bundle, where  $\rho : \Sigma_B \rightarrow \mathbf{P}^1$  is the projection.

Let  $C$  be an irreducible curve on  $\Sigma_B$ . Then there exist integers  $\sigma$  and  $e$  such that

$$C \sim \sigma \Delta_\infty + e F_c.$$

Here the symbol  $\sim$  means the linear equivalence between divisors.

We have  $C \cdot F_c = \sigma$  and  $C \cdot \Delta_\infty = e - B \cdot \sigma$ . Hereafter, suppose that  $C \neq \Delta_\infty$ . Thus  $C \cdot \Delta_\infty \geq 0$  and hence,  $e \geq B \sigma$ . If  $B > 0$  then  $\Delta_\infty^2 = -B < 0$  and such a section  $\Delta_\infty$  is uniquely determined. For a surface  $\Sigma_0 = \mathbf{P}^1 \times \mathbf{P}^1$ , we get  $F_c \sim \mathbf{P}^1 \times \text{point}$  and  $\Delta_\infty \sim \text{point} \times \mathbf{P}^1$ . We may assume that  $e \geq \sigma$ . Thus  $\sigma$  and  $e$  are uniquely determined for a given curve  $C$  on  $\Sigma_B$ .

By  $\nu_1, \nu_2, \dots, \nu_r$  we denote the multiplicities of singular points of  $C$  where  $\nu_1 \geq \nu_2 \geq \dots \geq \nu_r$ .

The symbol  $[\sigma * e, B; \nu_1, \nu_2, \dots, \nu_r]$  is said to be the type of a pair  $(\Sigma_B, C)$ . If  $B=0$ , we omit 0 in the symbol of type; namely,  $[\sigma * e; \nu_1, \nu_2, \dots, \nu_r]$  stands for  $[\sigma * e, B; \nu_1, \nu_2, \dots, \nu_r]$ .

Assume that  $\sigma \geq 2\nu_1$  and  $e \geq \sigma + B\nu_1$ . Moreover, if  $B = 1$  then assume  $e - \sigma > 1$ . When the above conditions are satisfied, the pair  $(\Sigma_B, C)$  is said to be *# minimal*. Occasionally, the *# minimal pair*  $(\Sigma_B, C)$  is said to be a *# minimal model* of a pair  $(S, D)$ , if it is birationally equivalent to  $(S, D)$  (See [5]). Moreover, any minimal pair  $(S, D)$  is obtained from a *# minimal model* by resolving singularities of  $C$ , if it is not isomorphic to  $(\mathbf{P}^2, C_d)$ ,  $C_d$  being a nonsingular curve (See [5]).

If  $(S, D)$  is minimal and  $\kappa[D] = 2$ , then the following results are obtained(see [7]).

1. If  $g \geq 1$  and  $\sigma \geq 4$  then  $P_2[D] = Z^2 + 2\bar{g} + 1$ .
2. If  $g \geq 0$  and  $\sigma \geq 4$  then  $P_{2,1}[D] = Z^2 - \bar{g} + 1$ .
3. If  $g \geq 0$ ,  $\sigma \geq 6$  and the type is not  $[6 * 8, 1; 2^r]$  for  $r \geq 0$ , then  $P_{3,1}[D] = 3Z^2 - 7\bar{g} + D^2 + 1$ .
4. If  $g \geq 1$  then  $P_2[D] = P_{2,1}[D] + 3\bar{g}$ .
5. If  $g = 0$  then  $P_2[D] = P_{2,1}[D] = Z^2 + 2$ .

Here  $\bar{g} = g - 1$ .

The next result may be noteworthy.

**Remark 1** *If the pair  $(S, D)$  satisfies that  $g(D) = \frac{(d-2)(d-1)}{2}$ ,  $P_2[D] = (d-2)(2d-5)$ ,  $P_{3,1}[D] = \frac{(d-7)(d-8)}{2}$ , then  $(S, D)$  is birationally equivalent to  $(\mathbf{P}^2, C_d)$ , where  $C_d$  is a nonsingular curve with degree  $d$ .*

In order to verify this, we can assume that  $(S, D)$  is minimal.

It is easy to check  $\kappa[D] = 2$ . Then  $Z = K_S + D$  is nef and big. By the formulas  $P_2[D] = Z^2 + 2\bar{g}$ ,  $P_{3,1}[D] = 3Z^2 + D^2 - 7\bar{g}$ ,  $\bar{g}$  being  $g - 1$ , the hypothesis implies that  $D^2 = d^2$ ,  $Z^2 = (d - 3)^2$ . From the formula  $Z^2 = K_S^2 - D^2 + 4\bar{g}$ , it follows that  $(d - 3)^2 = K_S^2 - d^2 + 2d(d - 3)$ . Hence,  $K_S^2 = 9$ . This yields that  $S = \mathbf{P}^2$ , which completes the proof.

This result suggests that giving values of three mixed plurigenera such as  $g, P_2[D], P_{3,1}[D]$  is superabundant.

## 2.2 Formulas

Letting  $g_0$  be the virtual genus of  $C$ ,  $K_0$  a canonical divisor on  $\Sigma_B$  and defining  $Z_0$  to be  $C + K_0$ , we get

$$g_0 = (e - 1)(\sigma - 1) - \frac{B\sigma(\sigma - 1)}{2},$$

$$C^2 = 2e\sigma - \sigma^2 B.$$

Moreover, letting  $f = e - B\sigma = C \cdot \Delta_0 \geq 0$ , we obtain

$$C \sim \sigma\Delta_0 + fF_c,$$

$$K_0 \sim -2\Delta_0 + (B - 2)F_c,$$

$$Z_0 = C + K_0 \sim (\sigma - 2)\Delta_0 + (f - 2 + B)F_c,$$

where  $\Delta_0$  is an irreducible curve linearly equivalent to  $\Delta_\infty + BF_c$ .

Denoting  $2f + \sigma B$  by  $\tilde{B}$ , we find

$$g_0 = \frac{(\sigma - 1)(\tilde{B} - 2)}{2}, \quad C^2 = \sigma\tilde{B},$$

$$Z_0^2 = (\sigma - 2)(\tilde{B} - 4),$$

$$(2Z_0 - C) \cdot Z_0 = (\sigma - 3)(\tilde{B} - 6) - 2,$$

$$(2Z_0 - C) \cdot (3Z_0 - 2C) = (\sigma - 5)(\tilde{B} - 10) - 2.$$

These formulas suggest that  $\tilde{B}$  is very useful. Hence, we introduce the following notion.

Two types  $[\sigma * e, B; \nu_1, \nu_2, \dots, \nu_r]$  and  $[\sigma * e', B'; \nu_1, \nu_2, \dots, \nu_r]$  are said to be **similar** if  $\tilde{B} = \tilde{B}'$ , where  $f' = e' - \sigma B'$  and  $\tilde{B}' = 2f' + \sigma B'$ . For simplicity, we omit the similar types in the following tables of types of pairs.

## 2.3 virtual mixed plurigenera

If  $C$  is a curve on  $S$ , define  $VP_{m,a}[C]$  to be  $\dim |mK_S + aC| + 1$ , which we call virtual mixed plurigenus of the pair  $(S, C)$ .

Let  $(S, D)$  be a pair derived from a # minimal pair  $(\Sigma_B, C)$  of type  $[\sigma * e, B; \nu_1, \dots, \nu_r]$ , by resolving singularities of  $C$ . Then by  $E_i$  denoting the exceptional divisor arising from the singular points  $p_j$  of  $C$ , we obtain

$$mK_S + aD \sim mK_0 + aC + \sum_{j=1}^r (m - a\nu_j)E_j.$$

Suppose that  $m \geq a\nu_1$ . Then

$$|mK_S + aD| = |mK_0 + aC| + \sum_{j=1}^r (m - a\nu_j)E_j.$$

Hence,

$$VP_{m,a}[C] = P_{m,a}[D].$$

Therefore, we obtain the next result.

**Lemma 1** *Let  $(S, D)$  be a pair. If  $m \geq a\nu_1$  then  $VP_{m,a}[C] = P_{m,a}[D]$ .*

Equivalently, the next result follows.

If  $VP_{m,a}[C] > P_{m,a}[D]$  then  $m < a\nu_1$

Note that this result implies the famous Noether's inequality in the theory of Cremonian geometry.

## 2.4 Hartshorne's lemma

The next result came from the proof in [2, Hartshorne, Proposition (3.2), p118].

**Lemma 2** *Let  $(S, D)$  be a minimal pair derived from a # minimal pair  $(\Sigma_B, C)$  of type  $[\sigma * e, B; \nu_1, \dots, \nu_r]$ , by resolving singularities of  $C$ . Then we have either (1)  $|\sigma Z - (\sigma - 2)D| \neq \emptyset$  or (2)  $B = 1, 2f < \sigma$  and  $|eZ - (e - 3)D| \neq \emptyset$ .*

Proof. By  $E_i$  denoting the exceptional divisor arising from the singular points  $p_j$  of  $C$ , we obtain

$$\begin{aligned} \sigma Z - (\sigma - 2)D &= \sigma K_S + 2D \\ &\sim 2(\sigma\Delta_0 + fF_c - \sum_{j=1}^r \nu_j E_j) \\ &\quad + \sigma(-2\Delta_0 + (B - 2)F_c + \sum_{j=1}^r E_j) \\ &\sim (2f + \sigma(B - 2))F_c + \sum_{j=1}^r (\sigma - 2\nu_j)E_j. \end{aligned}$$

Letting  $\varepsilon_1$  be  $2f + \sigma(B - 2)$ , we have the following two cases:

(1) If  $B = 0$  then  $\varepsilon_1 = 2f - 2\sigma \geq 0$  and if  $B \geq 2$  then  $\varepsilon_1 \geq 0$ .

(2) if  $B = 1$  and if  $\varepsilon_1 = 2f - \sigma < 0$  then  $3\sigma - 2e = \sigma - 2f = -\varepsilon_1 > 0$  and hence,  $|\sigma Z - (\sigma - 2)D| = \emptyset$ . In this case,

$$e - 3\nu_i \geq e - 3\nu_1 \geq e - \nu_1 - 2\nu_1 \geq \sigma - 2\nu_1 \geq 0.$$

Thus,

$$\begin{aligned}
eZ - (e-3)D &= eK_S + 3D \\
&\sim 3(\sigma\Delta_0 + fF_c - \sum_{j=1}^r \nu_j E_j) \\
&\quad + e(-2\Delta_0 + (B-2)F_c + \sum_{j=1}^r E_j) \\
&\sim (3\sigma - 2e)(\Delta_0 - F_c) + \sum_{j=1}^r (e - 3\nu_j)E_j \\
&\sim (3\sigma - 2e)\Delta_\infty + \sum_{j=1}^r (e - 3\nu_j)E_j.
\end{aligned}$$

Therefore,  $|eZ - (e-3)D| \neq \emptyset$ , which completes the proof.

Note that  $P_{\sigma,2}[D] = VP_{\sigma,2}[C]$  and  $P_{e,3}[D] = VP_{e,3}[C]$ .

The next result follows from Lemma 1 immediately.

**Lemma 3** *Let  $(S, D)$  be a minimal pair derived from a # minimal pair  $(\Sigma_B, C)$  of type  $[\sigma * e, B; \nu_1, \dots, \nu_r]$ .*

1. *Either (1)  $\sigma Z^2 \geq 2(\sigma-2)\bar{g}$  or (2)  $B = 1$  and  $eZ^2 \geq 2\bar{g}(e-3)$ .*
2. *Either (1)  $2\sigma\bar{g} \geq (\sigma-2)D^2$  or (2)  $B = 1$  and  $2\bar{g}e \geq (e-3)D^2$ .*

Here  $g$  denotes the genus of  $D$ .

Proof. The assertion 1 follows from the fact that  $Z$  is nef where  $g > 0$ . In order to verify (1) of the assertion 2, assume that

$$2\sigma\bar{g} - (\sigma-2)D^2 = (\sigma Z - (\sigma-2)D) \cdot D < 0.$$

Then since  $|\sigma Z - (\sigma-2)D| \neq \emptyset$ , it follows that  $D^2 < 0$  and  $2\sigma\bar{g} < (\sigma-2)D^2 \leq 0$ . Hence,  $g = 0$ . Then noting that  $\sigma \geq 4$ , we have

$$-2 - \frac{4}{\sigma-2} \geq -4 \text{ and } -4 \geq D^2$$

and thus

$$-2 - \frac{4}{\sigma-2} \geq D^2.$$

It follows that  $2\sigma\bar{g} = -2\sigma \geq (\sigma-2)D^2$ .

By the similar argument, we are done in the assertion 2.

### 3 Bigenus and genus

Suppose that  $(S, D)$  is a minimal pair which satisfies (1)  $P_2[D] = (2d-5)(d-2)$ , for some  $d \geq 4$  and (2)  $\delta = g - \frac{(d-1)(d-2)}{2} \geq 0$ ,  $g$  being the genus of  $D$ .

Assume that  $(S, D)$  is *not* birationally equivalent to  $(\mathbf{P}^2, C_d), C_d$  being a nonsingular curve. Then  $(S, D)$  is obtained from a # minimal model  $(\Sigma_B, C)$  with type  $[\sigma * e, B; \nu_1, \dots, \nu_r]$  by shortest resolution of singularities of  $C$ . From the formula  $P_2[D] = Z^2 + 2g - 1$ ,  $Z$  being  $K_S + D$  ([7]), it follows that

$$(2d-5)(d-2) = Z^2 + 2g - 1 = Z^2 + d^2 - 3d + 2 + 2\delta - 1.$$

Hence ,

$$Z^2 = (d-3)^2 - 2\delta. \quad (1)$$

Denoting by  $t_j$  the numbers of singular points of  $C$  with multiplicities  $j$ , define  $X$  to be  $\sum_{j=2}^{\nu_1} \frac{j(j-1)}{2} t_j$ . Then by genus formula,

$$(\sigma-1)(\tilde{B}-2) = 2g + 2X = d^2 - 3d + 2 + 2\delta + 2X. \quad (2)$$

Moreover, defining  $U$  to be  $\sum_{j=2}^{\nu_1} (j-1)^2 t_j$ , we get

$$Z^2 + U = (\sigma-2)(\tilde{B}-4). \quad (3)$$

Multiplying (3) by  $\sigma-1$ , we have

$$\begin{aligned} (\sigma-1)Z^2 + (\sigma-1)U &= (\sigma-2)((\sigma-1)(\tilde{B}-2) - 2(\sigma-1)) \\ &= (\sigma-2)(2g + 2X - 2(\sigma-1)) \\ &= (\sigma-2)(d^2 - 3d + 2 + 2\delta) + 2(\sigma-2)X - 2(\sigma-1)(\sigma-2). \end{aligned}$$

On the other hand,

$$(\sigma-1)Z^2 + (\sigma-1)U = (\sigma-1)((d-3)^2 - 2\delta) + (\sigma-1)U.$$

From these , it follows that

$$\begin{aligned} &(\sigma-1)((d-3)^2 - 2\delta) - (\sigma-2)(d^2 - 3d + 2) \\ &= 2\delta(\sigma-2) + 2(\sigma-2)X - (\sigma-1)U - 2(\sigma-1)(\sigma-2). \end{aligned}$$

Defining  $\Theta_2$  to be  $2(\sigma-2)X - (\sigma-1)U$ , we have

$$\Theta_2 = \sum_{j=2}^{\nu_1} \{(\sigma-2)j(j-1) - (\sigma-1)(j-1)^2\} t_j$$



$$= \sum_{j=2}^{\nu_1} \{(j-1)(\sigma-j-1)\}t_j,$$

and

$$\begin{aligned} & (\sigma-1)(d-3)^2 - (\sigma-2)(d^2-3d+2) + 2(\sigma-1)(\sigma-2) \\ &= d^2 - 3\sigma d + 2\sigma^2 + \sigma - 1 \\ &= (d-\sigma-1)(d-2\sigma+1). \end{aligned}$$

Finally, we find the following formula:

$$(d-\sigma-1)(d+1-2\sigma) = 2(2\sigma-3)\delta + \Theta_2, \quad (4)$$

where

$$\Theta_2 = \sum_{j=2}^{\nu_1} (j-1)(\sigma-j-1)t_j = (\sigma-3)t_2 + 2(\sigma-4)t_3 + 3(\sigma-5)t_4 + \dots$$

By  $(d-\sigma-1)(d+1-2\sigma) \geq 0$ , we have either  $d \geq 2\sigma-1$  or  $d \leq \sigma+1$ .

### 3.1 Estimate of $d$

We shall show that  $d \geq 2\sigma-1$ . First, by Lemma 2, we obtain either (1)  $\sigma Z^2 \geq 2(\sigma-2)\bar{g}$  or (2)  $B=1$  and  $eZ^2 \geq 2(e-3)\bar{g}$ .

In the first case,

$$\sigma Z^2 = \sigma((d-3)^2 - 2\delta) \geq 2(\sigma-2)\bar{g} = (\sigma-2)(d(d-3) + 2\delta).$$

Thus,

$$(d-3)(2d-3\sigma) \geq 4(\sigma-1)\delta \geq 0.$$

Therefore,

$$\sigma \leq \frac{2d}{3}.$$

If  $\sigma \geq d-1$  then

$$d-1 \leq \sigma \leq \frac{2d}{3}.$$

Hence,  $d \leq 3$ , which concordat the hypothesis  $d \geq 4$ , i.e.,  $d \geq 2\sigma-1$ .

In the second case,

$$eZ^2 = e((d-3)^2 - 2\delta) \geq 2\bar{g}(e-3) = (e-2)(d(d-3) + 2\delta)$$

and so

$$(d-3)(2d-3e) \geq 4\delta(e-1) \geq 0.$$

Hence,

$$2d \geq 3e = 3(f + \sigma) \geq 3\nu_1 + 3\sigma;$$

thus

$$3\sigma \leq 2d.$$

If  $\sigma \geq d-1$  then  $2d > 3\sigma \geq 3d-3$ , which implies that  $d \leq 1$ . This contradicts the hypothesis. Hence,  $\sigma \geq d-1$  cannot occur. Thus, we conclude that  $d \geq 2\sigma-1$ .

If  $d = 2\sigma-1$ , then  $r = 0$ . By  $Z^2 = (\sigma-2)(\tilde{B}-4)$  and  $Z^2 = (d-3)^2$ , we obtain

$$\tilde{B} = 2(d-1), \tilde{B} = \frac{d+1}{2}B + 2f.$$

When one puts  $B = 0$ , we have  $f = e = d-1$  and the type is  $[\frac{d+1}{2} * (d-1); 1]$ . In general, the type becomes  $[\frac{d+1}{2} * (d-1); 1]$  and its similar types.

Define  $k$  to be  $d-2\sigma+1 \geq 0$ . Then  $d = 2\sigma+k-1$ . Replacing  $d$  by  $2\sigma+k-1$ , the formula (4) becomes

$$k(\sigma+k-2) = 2(2\sigma-3)\delta + \Theta_2.$$

If  $r > 0$  then  $k(\sigma+k-2) \geq (k+1)(\sigma-k-3)$  and thus,

$$\sigma \leq 2k^2 + 2k + 3.$$

Therefore, given  $k$ , we have  $\sigma$  such that  $3 \leq \sigma \leq 2k^2 + 2k + 3$  and the equality

$$k(\sigma+k-2) = 2(2\sigma-3)\delta + (\sigma-3)t_2 + 2(\sigma-4)t_3 + 3(\sigma-5)t_4 + \dots$$

holds. This equation has a finite number of non-negative solutions  $\sigma, \delta, t_2, t_3, \dots$ . For example, in the cases of  $k = 1, 2, 3$ , we have the following solutions listed in the next tables using computer.

Table 1: types with  $k = 1$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta$
8	$[4 * 9; 2^3]$	2	0
10	$[5 * 13, 1; 2^2]$	2	0
14	$[7 * 18, 1; 3]$	3	0

Table 2: types with  $k = 2$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta$
9	$[4 * 13; 2^8]$	2	0
11	$[5 * 16, 1; 2^5]$	2	0
13	$[6 * 15; 2^4]$	2	0
13	$[6 * 16; 3^3]$	3	0
15	$[7 * 17; 3, 2^2]$	3	0
17	$[8 * 19; 3^2]$	3	0
19	$[9 * 25, 1; 2^3]$	2	0
19	$[9 * 21; 4, 2]$	4	0
23	$[11 * 30, 1; 3, 2]$	3	0
25	$[12 * 27; 5]$	5	0
31	$[15 * 40, 1; 4]$	4	0

Table 3: types with  $k = 3$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta$
10	$[4 * 18; 2^{15}]$	2	0
10	$[4 * 15; 2^5]$	2	1
12	$[5 * 17; 2^9]$	2	0
12	$[5 * 18; 1; 2^2]$	2	1
14	$[6 * 18; 2^7]$	2	0
14	$[6 * 19; 3^3, 2^3]$	3	0
14	$[6 * 17; 2]$	2	1
16	$[7 * 23; 1; 2^6]$	2	0
16	$[7 * 20; 3^2, 2^3]$	3	0
16	$[7 * 24; 1; 3^4]$	3	0
18	$[8 * 22; 4, 3, 2^2]$	4	0
18	$[8 * 23; 4^3]$	4	0
20	$[9 * 23; 2^5]$	2	0
20	$[9 * 28; 1; 3^3]$	3	0
20	$[9 * 28; 1; 4, 2^3]$	4	0
20	$[9 * 24; 4^2, 2]$	4	0
20	$[9 * 27; 1; 1]$	1	1

Observing these tables, we obtain the following result.

**Proposition 1** *If  $P_2[D] = (d - 2)(2d - 5)$  and  $\delta = g - \frac{(d-1)(d-2)}{2} \geq 0$ , then  $d \geq 4\nu_1 + 3$  except for the following cases:*

1.  $d = 8, [4 * 9; 2^3], d = 4\nu_1,$
2.  $d = 10, [5 * 13, 1; 2^2], d = 4\nu_1 + 2,$
3.  $d = 9, [4 * 13; 2^8], d = 4\nu_1 + 1,$
4.  $d = 13, [6 * 16; 3^3], d = 4\nu_1 + 1,$
5.  $d = 10, [4 * 18; 2^{15}], d = 4\nu_1 + 2,$
6.  $d = 10, [4 * 15; 2^5], d = 4\nu_1 + 2,$
7.  $d = 14, [6 * 19; 3^3, 2^3], d = 4\nu_1 + 2,$
8.  $d = 18, [8 * 22; 4, 3, 2^2], d = 4\nu_1 + 2,$
9.  $d = 18, [8 * 23; 4^3], d = 4\nu_1 + 2.$

### 3.2 Converse

We shall show the converse.

**Proposition 2** *Suppose that nonnegative integers  $d \geq 4, \sigma, \delta, t_j (j = 2, 3, \dots)$  satisfy that*

$$(d - \sigma - 1)(d + 1 - 2\sigma) = 2(2\sigma - 3)\delta + \Theta_2$$

where

$$\Theta_2 = \sum_{j=2}^{\nu_1} (j-1)(\sigma-j-1)t_j.$$

Moreover, assume that there exists a minimal pair  $(S, D)$  obtained from a # minimal model  $(\Sigma_B, C)$  with type  $[\sigma * e, B; \nu_1, \nu_1, \dots, \nu_r]$  which corresponds to integers  $d, \sigma, \Delta, t_j (j = 2, 3, \dots)$ . Then  $P_2[D] = (2d - 5)(d - 2)$ .

Proof. Letting  $X = \sum_{j=2}^{\nu_1} \frac{j(j-1)}{2} t_j$  and  $U = \sum_{j=2}^{\nu_1} (j-1)^2 t_j$ , we have  $\Theta_2 = 2(\sigma - 2)X - (\sigma - 1)U$ . Considering both sides of the formula (4) mod  $(\sigma - 1)$ , we obtain

$$\begin{aligned} (d - \sigma - 1)(d + 1 - 2\sigma) &\equiv (d - 1)(d - 2) && \text{mod } (\sigma - 1), \\ 2(2\sigma - 3)\delta + \Theta_2 &\equiv -2\delta + \Theta_2 && \text{mod } (\sigma - 1), \\ \Theta_2 = 2(\sigma - 2)X - (\sigma - 1)U &\equiv -2X && \text{mod } (\sigma - 1). \end{aligned}$$

Hence, from the formula (4), it follows that

$$(d - 1)(d - 2) + 2\delta + 2X \equiv 0 \text{ mod } (\sigma - 1),$$

which implies that  $\frac{d^2 - 3d + 2 + 2\delta + 2X}{\sigma - 1}$  is an integer. Then define  $\tilde{B}_0$  to be  $2 + \frac{d^2 - 3d + 2 + 2\delta + 2X}{\sigma - 1}$ .

Now assume that there exists a minimal pair  $(S, D)$  obtained from a # minimal model  $(\Sigma_B, C)$  by shortest resolution of singularities, whose type is  $[\sigma * e, B; \nu_1, \dots, \nu_r]$ , where  $\tilde{B} = \tilde{B}_0$  and the sequence of multiplicities  $\nu_2, \nu_3, \dots$  corresponds to the sequence of  $t_2, t_3, \dots$ . Indeed, when  $\tilde{B}_0$  is even, one can put  $B = 0, e = f = \frac{\tilde{B}_0}{2}$ . Further, when  $\tilde{B}_0$  is odd,  $\sigma$  is verified to be odd and so one can put  $B = 1, e = \frac{\tilde{B}_0 + \sigma}{2}$ .

By genus formula,

$$(\sigma - 1)(\tilde{B} - 2) = 2g + 2X,$$

where  $g$  is the genus of  $D$ . However, by the definition of  $\tilde{B}$ , we find

$$(\sigma - 1)(\tilde{B} - 2) = d^2 - 3d + 2 + 2\delta + 2X.$$

So, the genus  $g$  coincides with  $d^2 - 3d + 2 + 2\delta$ .

Next, we shall prove that  $Z^2 = (d - 3)^2 - 2\delta$ .

In the previous section, we assumed  $Z^2 = (d - 3)^2 - 2\delta$ . But here, the equation is not assumed. Define an invariant  $\varepsilon$  to be  $Z^2 - ((d - 3)^2 - 2\delta)$ . Thus  $Z^2 = \varepsilon + (d - 3)^2 - 2\delta$  and then

$$(\sigma - 2)(\tilde{B} - 4) = Z^2 + U = \varepsilon + (d - 3)^2 - 2\delta + U.$$

By multiplying this by  $\sigma - 1$ , we have

$$\begin{aligned} & (\sigma - 1)(\varepsilon + (d - 3)^2) + (\sigma - 1)U \\ &= (\sigma - 2)((\sigma - 1)(\tilde{B} - 2) - 2(\sigma - 1)) \\ &= (\sigma - 2)(d^2 - 3d + 2 + 2\delta) + 2(\sigma - 2)X - 2(\sigma - 1)(\sigma - 2). \end{aligned}$$

From this, it follows that

$$\begin{aligned} & (\sigma - 1)(\varepsilon + (d - 3)^2 - 2\delta) - (\sigma - 2)(d^2 - 3d + 2) \\ &= (\sigma - 1)\varepsilon + 2\delta(\sigma - 2) + 2(\sigma - 2)X - (\sigma - 1)U - 2(\sigma - 1)(\sigma - 2). \end{aligned}$$

Thus we obtain

$$(\sigma - 1)\varepsilon + (d - \sigma - 1)(d + 1 - 2\sigma) = 2(2\sigma - 3)\delta + \Theta_2. \quad (5)$$

Recall that we assumed the equality (4). Then the formula (5) induces  $(\sigma - 1)\varepsilon = 0$ . Hence,  $\varepsilon = 0$ . Thus  $Z^2 = (d - 3)^2 - 2\delta$  is derived and we establish  $P_2[D] = (2d - 5)(d - 2)$ .

### 3.3 Examples

If  $\sigma = 3$  then  $\nu_1 = 1$  and the formula (4) becomes  $(d - 4)(d - 5) = 6\delta$ . Hence,

$$d \equiv 1, 2, 4, 5 \pmod{6}.$$

Let  $[3 * e, B; 1]$  be the type. Then  $Z^2 = \tilde{B} - 4 = (d - 3)^2 - 2\delta$ . From this it follows that

$$\tilde{B} = (d - 3)^2 + 4 - \frac{(d - 4)(d - 5)}{3} = \frac{2d^2 - 9d + 19}{3}.$$

More precisely, when  $d \equiv 1, 5 \pmod{6}$ , it is easy to check that  $\frac{2d^2 - 9d + 19}{3}$  is even. Hence, one can put  $B = 0$ ,  $f = \frac{2d^2 - 9d + 19}{6}$ .

When  $d \equiv 2, 4 \pmod{6}$ , it is easy to check that  $\frac{2d^2 - 9d + 19}{3}$  is odd. Hence, one can put  $B = 1$ ,  $2f + 3 = \tilde{B}$ . Thus  $f = \frac{2d^2 - 9d + 10}{6}$ .

Suppose that  $\delta = 0$ . Then  $d = 4, 5$ .

If  $d = 4$  then  $B = 1, f = 1, e = 4$ . Then the type is  $[3 * 4, 1; 1]$ . But this contradicts the condition of  $\#$ -minimality.

If  $d = 5$  then  $B = 0, e = f = 4$ . Then the type is  $[3 * 4; 1], \delta = 0$ .

If  $d = 7$  then  $B = 0, e = 9$ . Then the type is  $[3 * 9; 1], \delta = 1$ .

If  $d = 8$  then  $B = 1, e = 14$ . Then the type is  $[3 * 14, 1; 1], \delta = 2$ .

If  $d = 10$  then  $B = 1, e = 23$ . Then the type is  $[3 * 23, 1; 1], \delta = 5$ .

If  $d = 11$  then  $B = 0, e = 27$ . Then the type is  $[3 * 27; 1], \delta = 7$ .

If  $\sigma \geq 4$  then suppose that  $r = 0$  and  $\delta = 0$ .

Using computer one has the following tables of types where  $5 \leq d \leq 12$ .

Table 4: types of pairs where  $P_2[D] = (d-2)(2d-5)$  with  $5 \leq d \leq 12$  and  $\delta \geq 0$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta$
5	$[3 * 4; 1]$	1	0
7	$[3 * 9; 1]$	1	1
7	$[4 * 6; 1]$	1	0
8	$[3 * 14, 1; 1]$	1	2
8	$[4 * 9; 2^3]$	2	0
9	$[4 * 13; 2^8]$	2	0
9	$[5 * 8; 1]$	1	0
10	$[3 * 23, 1; 1]$	2	5
10	$[4 * 18; 2^{15}]$	2	0
10	$[4 * 15; 2^5]$	2	1
10	$[5 * 13, 1; 2^2]$	2	0
11	$[3 * 27; 1]$	1	7
11	$[4 * 24; 2^{24}]$	2	0
11	$[4 * 21; 2^{14}]$	2	1
11	$[4 * 18; 2^4]$	2	2
11	$[5 * 16, 1; 2^5]$	2	0
11	$[6 * 10; 1]$	1	0
12	$[4 * 31; 2^{35}]$	2	0
12	$[4 * 28; 2^{25}]$	2	1
12	$[4 * 25; 2^{15}]$	2	2
12	$[4 * 22; 2^5]$	2	3
12	$[5 * 17; 2^9]$	2	0
12	$[5 * 18, 1; 2^2]$	2	1

**Theorem 3** *If  $4 \leq d \leq 9$ ,  $P_2[D] = (d-2)(2d-5)$  and  $g = \frac{d^2-3d+2}{2}$  then the pair  $(S, D)$  becomes a pair of  $\mathbf{P}^2$  and a nonsingular plane curve or the type is  $[\frac{d+1}{2} * (d-1); 1]$  or  $[4 * 9; 2^3]$  or  $[4 * 13; 2^8]$ .*

Table 5: types of pairs where  $P_2[D] = (d-2)(2d-5)$  with  $13 \leq d \leq 15$  and  $\delta = 0$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta$
13	$[4 * 39; 2^{48}]$	2	0
13	$[5 * 21; 2^{14}]$	2	0
13	$[6 * 15; 2^4]$	2	0
13	$[6 * 16; 3^3]$	3	0
13	$[7 * 12; 1]$	1	0
14	$[4 * 48; 2^{63}]$	2	0
14	$[5 * 28, 1; 2^{20}]$	2	0
14	$[6 * 18; 2^7]$	2	0
14	$[6 * 19; 3^3, 2^3]$	3	0
14	$[7 * 18, 1; 3]$	3	0
15	$[4 * 58; 2^{80}]$	2	0
15	$[5 * 33, 1; 2^{27}]$	2	0
15	$[6 * 22; 3^2, 2^8]$	3	0
15	$[6 * 23; 3^5, 2^4]$	3	0
15	$[6 * 24; 3^8]$	3	0
15	$[7 * 17; 3, 2^2]$	3	0
15	$[8 * 14; 1]$	1	0

## 4 $D^2$ and genus

Next, suppose that  $D^2 = d^2$  and  $g \leq \frac{(d-1)(d-2)}{2}$ . So in this case  $\delta_{(-)}$  is defined to be  $\frac{(d-1)(d-2)}{2} - g \geq 0$ . Thus  $2g = d^2 - 3d + 2 - 2\delta_{(-)}$ .

Assume that  $(S, D)$  is *not* birationally equivalent to  $(\mathbf{P}^2, C_d), C_d$  being a nonsingular curve. Thus  $(S, D)$  is obtained from a # minimal model  $(\Sigma_B, C)$  of type  $[\sigma * e, B; \nu_1, \nu_1, \dots, \nu_r]$  by shortest resolution of singularities of  $C$ . Then

$$\begin{aligned} Z^2 &= K_S^2 - D^2 + 4\bar{g} \\ &= 8 - r - d^2 + 2d(d-3) - 4\delta_{(-)} \\ &= (d-3)^2 - 1 - r - 4\delta_{(-)}. \end{aligned}$$

Hence,

$$Z^2 = (d-3)^2 - 1 - r - 4\delta_{(-)}. \quad (6)$$

The genus formula implies

$$(\sigma-1)(\tilde{B}-2) = 2g + 2X. \quad (7)$$



Moreover,

$$\sigma \tilde{B} = D^2 + W, \quad (8)$$

where

$$W = \sum_{j=2}^{\nu_1} j^2 t_j.$$

Multiplying (7) by  $\sigma$ , we obtain

$$(\sigma - 1)(\sigma \tilde{B} - 2\sigma) = 2\sigma g + 2\sigma X,$$

and by (8),

$$\begin{aligned} & (\sigma - 1)(\sigma \tilde{B} - 2\sigma) \\ &= (\sigma - 1)(D^2 + W) - 2\sigma(\sigma - 1) \\ &= 2\sigma g + 2\sigma X \\ &= (d^2 - 3d + 2)\sigma - 2\delta_{(-)}\sigma + 2\sigma X. \end{aligned}$$

So,

$$\begin{aligned} & (\sigma - 1)D^2 + (\sigma - 1)W - 2\sigma X - 2\sigma(\sigma - 1) \\ &= (d^2 - 3d + 2)\sigma - 2\delta_{(-)}\sigma. \end{aligned}$$

Thus, defining  $\Theta_D$  to be  $(\sigma - 1)W - 2\sigma X$ , we have

$$\begin{aligned} \Theta_D &= \sum_{j=2}^{\nu_1} \{(\sigma - 1)j^2 - (\sigma - 1)j(j - 1)\}t_j \\ &= \sum_{j=2}^{\nu_1} j(\sigma - j)t_j. \end{aligned}$$

On the other hand,

$$\begin{aligned} & -(\sigma - 1)d^2 + (d^2 - 3d + 2)\sigma + 2(\sigma - 1)(\sigma - 2) \\ &= d^2 - 3\sigma d + 2\sigma^2 \\ &= (d - \sigma)(d - 2\sigma). \end{aligned}$$

Thus we find the following formula:

$$(d - \sigma)(d - 2\sigma) = 2\sigma\delta_{(-)} + \Theta_D. \quad (9)$$

In particular,  $(d - \sigma)(d - 2\sigma) \geq 0$  implies

$$d \leq \sigma \text{ or } d \geq 2\sigma. \quad (10)$$

#### 4.1 Estimate of $d$

We shall show that  $d \geq 2\sigma$ . Actually, by Lemma 2, we obtain either (1)  $2\sigma\bar{g} \geq (\sigma - 2)D^2$  or (2)  $B = 1$  and  $eZ^2 \geq 2\bar{g}(e - 3)$ .

In the first case,

$$2\sigma\bar{g} = \sigma(d^2 - 3d - 2\delta_{(-)}) \geq (\sigma - 2)D^2 = (\sigma - 2)d^2.$$

Hence,  $\bar{g} \geq 0$  and  $d(2d - 3\sigma) \geq 2\sigma\bar{g} \geq 0$ . Thus,

$$\sigma \leq \frac{2d}{3} < d.$$

We can check  $d \geq \sigma$  in the second case, too. Hence by (10),  $d \geq 2\sigma$ .

If  $r = 0$  and  $\delta_{(-)} = 0$ , then  $d = 2\sigma$ . Since  $D^2 = d^2$ , it follows that  $\tilde{B} = 2d$ . Hence, the type becomes  $[\frac{d}{2} * e, B; 1]$  such that  $e = d + \frac{dB}{4}$ . These types are similar to the type  $[\frac{d}{2} * 2d; 1]$ . Thus, if  $d$  is even, the types are  $[\frac{d}{2} * 2d; 1]$  and their similar ones.

Define  $k$  to be  $d - 2\sigma$ . Then  $d = 2\sigma + k$ . We suppose that  $k > 0$ . Substituting  $d = 2\sigma + k$ , the formula (9) becomes

$$k(\sigma + k) = 2\sigma\delta_{(-)} + \Theta_D.$$

If  $r > 0$  then  $k(\sigma + k) \geq (k + 1)(\sigma - k - 1)$ . Thus,

$$\sigma \leq 2k^2 + 2k + 1.$$

For  $k = 1, 2, 3$ , we have the following tables.

Table 6: types in the case of  $D^2 = d^2$  with  $k = 1$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta_{(-)}$
11	$[5 * 15, 1; 2]$	2	0

Table 7: types in the case of  $D^2 = d^2$  with  $k = 2$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta_{(-)}$
10	$[4 * 14; 2^3]$	2	0
10	$[4 * 13; 2]$	2	1
14	$[6 * 17; 2^2]$	2	0
22	$[10 * 25; 4]$	4	0
28	$[13 * 37, 1; 3]$	3	0

Table 8: types in the case of  $D^2 = d^2$  with  $k = 3$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta_{(-)}$
13	$[5 * 21, 1; 2^4]$	2	0
15	$[6 * 21; 3^3]$	3	0
17	$[7 * 25, 1; 2^3]$	2	0
21	$[9 * 30, 1; 3^2]$	3	0
21	$[9 * 25; 3]$	3	1
21	$[9 * 29, 1; 1]$	1	2
25	$[11 * 29; 3, 2]$	3	0
29	$[13 * 39, 1; 2]$	2	1
33	$[15 * 45, 1; 6]$	6	0
37	$[17 * 49, 1; 2^2]$	2	0
37	$[17 * 41; 5]$	5	0
53	$[25 * 69, 1; 4]$	4	0

## 4.2 Converse

We shall show the converse.

**Proposition 3** *Suppose that nonnegative integers  $d \geq 4, \sigma, \delta, t_j (j = 2, 3, \dots)$  satisfy that*

$$(d - \sigma)(d - 2\sigma) = 2\sigma\delta_{(-)} + \Theta_D,$$

where

$$\Theta_D = \sum_{j=2}^{\nu_1} j(\sigma - j)t_j.$$

*Assume that there exists a minimal pair  $(S, D)$  obtained from a # minimal model  $(\Sigma_B, C)$  with type  $[\sigma * e, B; \nu_1, \nu_1, \dots, \nu_r]$  which corresponds to integers  $d, \sigma, \Delta, t_j (j = 2, 3, \dots)$ . Then  $D^2 = d^2$ .*

To verify this, letting  $X = \sum_{j=2}^{\nu_1} \frac{j(j-1)}{2} t_j$  and  $W = \sum_{j=2}^{\nu_1} j^2 t_j$ , we obtain  $\Theta_D = (\sigma - 1)W - 2X\sigma$  and then

$$(d - \sigma)(d - 2\sigma) \equiv d^2 - 3d + 2 \pmod{\sigma - 1}.$$

Furthermore,

$$2\sigma\delta_{(-)} + \Theta_D \equiv 2\delta_{(-)} - 2X\sigma \pmod{\sigma - 1}.$$

By hypothesis,

$$\begin{aligned} 0 &= d^2 - 3d + 2 - (2\sigma\delta_{(-)} + \Theta_D) \\ &\equiv d^2 - 3d + 2 - (2\delta_{(-)} - 2X\sigma) \pmod{\sigma - 1}. \end{aligned}$$

Consequently,  $\frac{d^2 - 3d + 2 - 2\delta_{(-)} + 2X}{\sigma - 1}$  is an integer. Then define

$$\tilde{B}_0 = 2 + \frac{d^2 - 3d + 2 - 2\delta_{(-)} + 2X}{\sigma - 1}.$$

By hypothesis, there exists a minimal pair  $(S, D)$  obtained from a # minimal model  $(\Sigma_B, C)$  with type  $[\sigma * e, B; \nu_1, \nu_1, \dots, \nu_r]$  such that  $\tilde{B} = \tilde{B}_0$  and the sequence of multiplicities  $\nu_2, \nu_3, \dots$  corresponds to the sequence of  $t_2, t_3, \dots$ .

By the condition, the genus  $g$  coincides with  $d^2 - 3d + 2 - 2\delta_{(-)}$ .

Next, we shall prove that  $D^2 = d^2$ . Replacing  $D^2 = d^2$  by  $D^2 = \varepsilon + d^2$ , by the same argument as before, we obtain

$$(d - \sigma)(d - 2\sigma) = 2\sigma\delta_{(-)} + \Theta_D + (\sigma - 1)\varepsilon. \quad (11)$$

Since the equality

$$(d - \sigma)(d - 2\sigma) = 2\sigma\delta_{(-)} + \Theta_D$$

was assumed, it follows that  $\varepsilon = 0$ . Hence,  $D^2 = d^2$ .

### 4.3 Examples

If  $\sigma = 3$  then  $\nu_1 = 1$  and the formula becomes  $(d-3)(d-6) = 6\delta_{(-)}$ . Hence,

$$d \equiv 0 \pmod{3}.$$

By  $[3 * e, B; 1]$  we denote the type. Then  $D^2 = 3\tilde{B}$  and therefore,  $\tilde{B} = \frac{d^2}{3}$ .

When  $d = 3\mu$ , we have  $\tilde{B} = 3\mu^2$  and  $\delta = \frac{3(\mu-1)(\mu-2)}{2}$ . Hence, if  $d$  is even, then put  $B = 0$  and thus  $f = \frac{3\mu^2}{2}$ . The type is  $[3 * \frac{3\mu^2}{2}; 1]$  (or its similar ones).

If  $d$  is odd, then put  $B = 1$  and thus  $f = \frac{3\mu^2-3}{2}$ . The type is  $[3 * \frac{3\mu^2+3}{2}, 1; 1]$ .

Suppose that  $\delta_{(-)} = 0$ . Then  $d = 6$  and so by putting  $B = 0$ , we get  $e = 6$  and the type becomes  $[3 * 6; 1]$ .

In general, if  $d = 9$ , then  $B = 1, e = 15, \delta_{(-)} = 3$  and so the type is  $[3 * 15, 1; 1]$ .

If  $d = 12$ , then  $B = 0, e = 24, \delta_{(-)} = 9$  and so the type is  $[3 * 24; 1]$ .

Suppose that  $r = 0$  and  $\delta_{(-)} = 0$ . Then by the formula,  $d = 2\sigma$ . In particular,  $d$  is even. Hence,  $\sigma = \frac{d}{2}$ . By  $D^2 = \sigma\tilde{B} = d^2$ , we obtain

$$\tilde{B} = 2d, \quad \tilde{B} = \frac{d}{2}B + 2f.$$

When  $B = 0$ , we have  $f = e = d$  and the type is  $[\frac{d}{2} * d; 1]$ . In general, the type becomes  $[\frac{d}{2} * d; 1]$  and its similar ones.

Using computer, one has the following tables of types where  $5 \leq d \leq 12$ .

Observing these formulas, we obtain the next proposition.

**Theorem 4** Suppose that  $D^2 = d^2$  and  $g = \frac{(d-1)(d-2)}{2}$ .

Then whenever  $d = 4, 5, 7, 9$ , the pair is birationally equivalent to  $(\mathbf{P}^2, C_d)$ ,  $C_d$  being a nonsingular curve.

## 5 $Z^2$ and $D^2$

Suppose that  $Z^2 = (d-3)^2$  and  $D^2 \geq d^2$  for some  $d \geq 4$ . Then  $\Delta$  is defined to be  $D^2 - d^2$ , which is nonnegative.  $g - \frac{(d-1)(d-2)}{2}$  is denoted by  $\delta$ , which will be proved to be positive.

Assume that  $(S, D)$  is *not* birationally equivalent to  $(\mathbf{P}^2, C_d)$ ,  $C_d$  being a nonsingular curve. Thus  $(S, D)$  is obtained from a # minimal model  $(\Sigma_B, C)$  of type  $[\sigma * e, B; \nu_1, \nu_1, \dots, \nu_r]$  by shortest resolution of singularities of  $C$ . Then from

$$Z^2 = K_S^2 - D^2 + 4\bar{g},$$

it follows that

$$(d-3)^2 = Z^2 = 8 - r - (d^2 + \Delta) + 2d(d-3) + 4\delta.$$

Table 9: types in the case of  $D^2 = d^2$  with  $4 \leq d \leq 13$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta_{(-)}$
6	$[3 * 6; 1]$	1	0
8	$[4 * 8; 1]$	1	0
9	$[3 * 15, 1; 1]$	1	3
10	$[4 * 14; 2^3]$	2	0
10	$[4 * 21; 2]$	2	1
10	$[5 * 10; 1]$	1	0
11	$[5 * 15, 1; 2]$	2	0
12	$[3 * 24; 1]$	1	9
12	$[4 * 22; 2^8]$	2	0
12	$[4 * 22; 2^8]$	2	0
12	$[4 * 29; 2^6]$	2	1
12	$[4 * 36; 2^4]$	2	2
12	$[4 * 43; 2^2]$	2	3
12	$[4 * 50; 1]$	1	4
12	$[6 * 12; 1]$	1	0

Hence,

$$4\delta = 1 + r + \Delta. \quad (12)$$

Multiplying (3) by  $\sigma$ , we obtain

$$\begin{aligned} \sigma Z^2 + \sigma U &= (\sigma - 2)(\sigma \tilde{B} - 4\sigma) \\ &= (\sigma - 2)(D^2 + W) - 4(\sigma - 2)\sigma \\ &= (\sigma - 2)d^2 + (\sigma - 2)\Delta + (\sigma - 2)W - 4(\sigma - 2)\sigma. \end{aligned}$$

On the other hand,

$$\begin{aligned} \sigma Z^2 + \sigma U &= \sigma(d - 3)^2 + \sigma U \\ &= (\sigma - 2)d^2 + (\sigma - 2)\Delta + (\sigma - 2)W - 4(\sigma - 2)\sigma, \end{aligned}$$

and so

$$\sigma(d - 3)^2 - (\sigma - 2)d^2 + 4(\sigma - 2)\sigma = (\sigma - 2)\Delta + (\sigma - 2)W - \sigma U.$$

Defining

$$\Theta_{DZ} = (\sigma - 2)W - \sigma U,$$

we have

Table 10: types in the case of  $D^2 = d^2$  with  $13 \leq d \leq 18$  where  $r > 0, \delta_{(-)} = 0$

$d$	$[\sigma * e, B; \text{Type}]$	$\nu_1$	$\delta_{(-)}$
13	$[5 * 21, 1; 2^4]$	2	0
14	$[4 * 32; 2^{15}]$	2	0
14	$[5 * 22; 2^6]$	2	0
14	$[6 * 17; 2^2]$	2	0
15	$[6 * 21; 3^3]$	3	0
16	$[4 * 44; 2^{24}]$	2	0
16	$[5 * 30; 2^{11}]$	2	0
16	$[6 * 23; 2^5]$	2	0
17	$[5 * 37, 1; 2^{14}]$	2	0
17	$[7 * 25, 1; 2^3]$	2	0
18	$[4 * 58; 2^{35}]$	2	0
18	$[6 * 30; 2^9]$	2	0
18	$[6 * 33; 3^8]$	3	0
18	$[7 * 25; 3^2, 2^2]$	3	0
19	$[5 * 47, 1; 2^{21}]$	2	0
19	$[6 * 35; 3^3, 2^8]$	3	0
19	$[7 * 31, 1; 2^6]$	2	0
19	$[7 * 29; 3^5]$	3	0
20	$[4 * 74; 2^{48}]$	2	0
20	$[5 * 50; 2^{25}]$	2	0
20	$[6 * 38; 2^{14}]$	2	0
20	$[6 * 41; 3^8, 2^5]$	3	0
20	$[7 * 32; 3^4, 2^3]$	3	0
20	$[8 * 26; 2^4]$	2	0
20	$[8 * 28; 4^3]$	4	0
21	$[6 * 45; 3^7, 2^9]$	3	0
21	$[6 * 48; 3^{15}]$	3	0
21	$[7 * 39, 1; 3^4, 2^5]$	3	0
21	$[9 * 30, 1; 3^2]$	3	0

$$\Theta_{DZ} = \sum_{j=2}^{\nu_1} (-2j^2 + 2\sigma j - \sigma)t_j \geq \sum_{j=2}^{\nu_1} 2j(j-1)t_j.$$

Thus, noting that

$$\sigma(d-3)^2 - (\sigma-2)d^2 + 4(\sigma-2)\sigma = 2d^2 - 6\sigma d + (4\sigma+1)\sigma,$$

we find the next formula:

$$2d^2 - 6\sigma d + (4\sigma+1)\sigma = (\sigma-2)\Delta + \Theta_{DZ}, \quad (13)$$

where  $\Theta_{DZ} = \sum_{j=2}^{\nu_1} (-2j^2 + 2\sigma j - \sigma)t_j$ .

**Claim:** If  $\Theta_{DZ} = 0$  then  $\Delta \geq 3$ .

Actually,  $\Theta_{DZ} = 0$  implies  $r = 0$ . But, from  $4\delta = 1 + r + \Delta = 1 + \Delta$ , it follows that  $\Delta \geq 3$ .

By the Claim,  $(\sigma-2)\Delta + \Theta_{DZ} > 0$  and so

$$2d^2 - 6\sigma d + (4\sigma+1)\sigma \geq 1.$$

Moreover,

$$2d^2 - 6\sigma d + (4\sigma+1)\sigma - \frac{1}{2} = \frac{(2d-4\sigma+1)(2d-2\sigma-1)}{2} \geq \frac{1}{2}.$$

Hence,

$$(2d-4\sigma+1)(2d-2\sigma-1) > 0. \quad (14)$$

Therefore, we have either  $2d \leq 2\sigma+1$  or  $2d \geq 4\sigma-1$  and so we obtain either 1)  $\sigma \geq d$  or 2)  $d \geq 2\sigma$ .

## 5.1 Estimate of $d$

We shall show that  $d \geq 2\sigma$ .

Actually, by Lemma 2, we have either (1)  $\sigma Z^2 \geq 2(\sigma-2)\bar{g}$  or (2)  $B = 1$  and  $eZ^2 \geq 2(e-3)\bar{g}$ .

In the first case,

$$\sigma(d-3)^2 = \sigma Z^2 \geq 2(\sigma-2)\bar{g} = (\sigma-2)(d(d-3) + 2\delta) \geq (\sigma-2)d(d-3).$$

Therefore,  $2d \geq 3\sigma$ , and so  $\sigma \leq \frac{2d}{3}$ ; hence by (14), we obtain  $d \geq 2\sigma$ .



In the second case , it follows that

$$e(d-3)^2 = eZ^2 \geq 2(e-3)\bar{g} = (e-3)d(d-3).$$

Hence,  $e(d-3) \geq (e-3)d$ , which implies that  $d \geq e = f + \sigma > \sigma$ . Therefore,

$$\sigma \leq d-1.$$

Hence, by (14), we obtain

$$2d - 4\sigma + 1 > 0; \quad d \geq 2\sigma.$$

Suppose that  $d = 2\sigma$ . Then the formula (12) turns out to be

$$2d^2 - 6\sigma d + (4\sigma + 1)\sigma = \sigma = (\sigma - 2)\Delta + \Theta_{DZ}.$$

Since

$$\sigma = (\sigma - 2)\Delta + \Theta_{DZ} \geq \Theta_{DZ} \geq (2\nu_1 - 1)\sigma - 2\nu_1^2,$$

it follows that

$$\nu_1^2 \geq 2(\nu_1 - 1)\nu_1.$$

Hence,  $2 \geq \nu_1$ .

Assume that  $\nu_1 = 2$ . Then  $\sigma = 4, d = 8; \Theta_{DZ} = 4, t_2 = 1, \Delta = 0$ . Hence,

$$D^2 = \sigma\tilde{B} - 4 = d^2 = 64.$$

Thus,  $\tilde{B} = 17$  and  $17 = \tilde{B} = 2f + 4B$ , which is a contradiction.

Assume that  $\nu_1 = 1$ . Then  $r = 0, 4\delta = 1 + \Delta \geq 4$  and

$$\sigma = (\sigma - 2)\Delta \geq 3(\sigma - 2).$$

Hence,  $\sigma = 3, d = 6, e = 8, \Delta = 3$ , which imply that the type is  $[3 * 8, 1; 1]$ .

Define  $k$  to be  $d - 2\sigma$ . Replacing  $d$  by  $2\sigma + k$ , the formula (13) turns out to be

$$2k^2 + (2k + 1)\sigma = (\sigma - 2)\Delta + \Theta_{DZ}. \quad (15)$$

Since

$$2k^2 + (2k + 1)\sigma \geq (-2j^2 + 2\sigma j - \sigma), j = k + 2$$

it follows that  $\sigma \leq 2(k^2 + 2k + 2)$ . Thus, we obtain the following tables using computer.

By observing these tables, we obtain the following result.

**Proposition 4** *If  $D^2 = d^2$  and  $Z^2 = (d-3)^2$  and  $(S, D)$  is not birationally equivalent to pairs of the projective plane and non-singular curves, then*

$$d \geq 4\nu_1 + 3$$

*except for the type  $[6 * 25, 1; 3^5]$ .*

Table 11: types in the case of  $D^2 = d^2$  and  $Z^2 = (d - 3)^2$  with  $k = 1, 2, 3$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\Delta$
21	$[10 * 27, 1; 3]$	3	0
10	$[4 * 16; 2^7]$	2	0
16	$[7 * 23, 1; 3, 2^2]$	3	0
18	$[8 * 21; 2^3]$	2	0
42	$[20 * 54, 1; 4]$	4	0
15	$[6 * 25, 1; 3^5]$	3	0
21	$[9 * 26; 3^3]$	3	0
21	$[9 * 31, 1; 4^2, 2]$	4	0
25	$[11 * 35, 1; 4, 2^2]$	4	0
29	$[13 * 33; 3, 2^2]$	3	0
39	$[18 * 53, 1; 9]$	9	0
45	$[21 * 59, 1; 2^3]$	2	0
71	$[34 * 91, 1; 5]$	5	0

## 5.2 Converse

By the same argument as in the previous section, we can show the converse.

**Proposition 5** *Suppose that nonnegative integers  $d, \sigma, \Delta, t_j (j = 2, 3, \dots)$  satisfy that*

$$2d^2 - 6\sigma d + (4\sigma + 1)\sigma = (\sigma - 2)\Delta + \Theta_{DZ} \quad (16)$$

and that  $\Delta + 1 + r$  is even.

Assume that there exists a minimal pair  $(S, D)$  obtained from a # minimal model  $(\Sigma_B, C)$  with type  $[\sigma * e, B; \nu_1, \nu_1, \dots, \nu_r]$  which corresponds to integers  $d, \sigma, \Delta, t_j (j = 2, 3, \dots)$ . Then  $Z^2 = (d - 3)^2$ .

Proof. By (14),

$$2d^2 - 6\sigma d + (4\sigma + 1)\sigma \equiv 2d^2 + \sigma \pmod{2\sigma}.$$

Hence,

$$2d^2 + \sigma \equiv (\sigma - 2)\Delta + (\sigma - 2)W - \sigma U \pmod{2\sigma}.$$

Thus

$$2(d^2 + \Delta + W) \equiv \sigma(\Delta + W - U - 1) \pmod{2\sigma}.$$

By the way,

$$W - U = \sum_{j=2}^{\nu_1} \{j^2 - (j-1)^2\} t_j$$

and

$$W - U - r = \sum_{j=2}^{\nu_1} \{j^2 - (j-1)^2 - 1\} t_j \equiv 0 \pmod{2}.$$

Therefore,

$$\begin{aligned} \sigma(\Delta + W - U - 1) &= \sigma(\Delta + W - U - r) + \sigma(r - 1) \\ &\equiv \sigma(\Delta + r - 1) \pmod{2\sigma}. \end{aligned}$$

However, since  $\Delta + 1 + r$  is even, it follows that

$$\sigma(\Delta + r - 1) \equiv 0 \pmod{2\sigma}.$$

So,

$$\sigma(\Delta + W - U - 1) \equiv 0 \pmod{2\sigma}. \quad (17)$$

Therefore,

$$2(d^2 + \Delta + W) \equiv 0 \pmod{2\sigma},$$

which implies that  $\frac{d^2 + \Delta + W}{\sigma}$  is an integer, which we denote by  $\tilde{B}_0$ . Thus,

$$\sigma\tilde{B}_0 = d^2 + \Delta + W. \quad (18)$$

As in the previous sections, assume that there exists a minimal pair  $(S, D)$  obtained from a  $\#$  minimal model  $(\Sigma_B, C)$  with type  $[\sigma * e, B; \nu_1, \nu_1, \dots, \nu_r]$  of which  $\tilde{B}$  equals  $\tilde{B}_0$  and the sequence of multiplicities  $\nu_2, \nu_3, \dots$  corresponds to the sequence of  $t_2, t_3, \dots$ . Then

$$\sigma\tilde{B} = D^2 + W, \sigma\tilde{B} = \sigma\tilde{B}_0 = d^2 + \Delta + W.$$

Defining  $\varepsilon$  to be  $Z^2 - (d-3)^2$ , we have

$$(\sigma - 2)(\tilde{B} - 4) = (d - 3)^2 + \varepsilon + U.$$

Multiplying the above formula by  $\sigma$ , we obtain

$$(\sigma - 2)(\sigma\tilde{B} - 4\sigma) = \sigma(d - 3)^2 + \sigma\varepsilon + \sigma U$$

and

$$\begin{aligned} (\sigma - 2)(\sigma\tilde{B} - 4\sigma) &= (\sigma - 2)(D^2 + W) - 4\sigma(\sigma - 2) \\ &= (\sigma - 2)(d^2 + \Delta + W) - 4\sigma(\sigma - 2). \end{aligned}$$

Therefore,

$$(\sigma - 2)(d^2 + \Delta + W) - 4\sigma(\sigma - 2) = \sigma((d - 3)^2 + \varepsilon) + \sigma U.$$

Hence,

$$\sigma\varepsilon = 2d^2 - 6\sigma d + (4\sigma + 1)\sigma - (\sigma - 2)\Delta - \Theta_{DZ}.$$

However, the formula (16) implies that the right hand side vanishes. Hence,

$$\sigma\varepsilon = 0; \quad \varepsilon = 0.$$

Therefore,  $Z^2 = (d - 3)^2$  has been established.

### 5.3 Numerical examples

Table 12: types in the case of  $D^2 = d^2$  and  $Z^2 = (d - 3)^2$  with  $4 \leq d \leq 21$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\Delta$	$\nu_1$
10	$[4 * 16; 2^7]$	0	2
14	$[4 * 40; 2^{31}]$	0	2
14	$[5 * 24; 2^{11}]$	0	2
15	$[5 * 31, 1; 2^{15}]$	0	2
16	$[7 * 23, 1; 3, 2^2]$	0	3
17	$[6 * 29; 3^3, 2^8]$	0	3
18	$[4 * 76; 2^{71}]$	0	2
18	$[6 * 32; 2^{15}]$	0	2
18	$[7 * 29, 1; 3, 2^6]$	0	3
18	$[8 * 21; 2^3]$	0	2
20	$[6 * 41; 2^{23}]$	0	2
20	$[7 * 37, 1; 3^5, 2^6]$	0	3
21	$[5 * 67, 1; 2^{51}]$	0	2
21	$[6 * 47; 3^3, 2^{24}]$	0	3
21	$[6 * 54; 3^{23}]$	0	3
21	$[7 * 40, 1; 3^2, 2^{13}]$	0	3
21	$[8 * 31; 4, 3^3, 2^3]$	0	4
21	$[9 * 26; 3^3]$	0	3
21	$[9 * 31, 1; 4^2, 2]$	0	4

Observing these tables, we get the next result.

**Theorem 5 (H.Yanaba)** *Suppose that  $Z^2 = (d - 3)^2$  and  $D^2 = d^2$ .*

*If  $d = 4, 5, 7, 8, 9, 11, 12, 13, 19$ , then  $(S, D)$  is birationally equivalent to a pair of  $\mathbf{P}^2$  and a nonsingular curve.*

## 6 $P_{3,1}[D]$ and genus

Suppose that  $P_{3,1}[D] = \frac{(d-7)(d-8)}{2}$  for  $d \geq 7$ , and  $\delta = g - \frac{(d-1)(d-2)}{2} \geq 0$ ,  $g$  being the genus of  $D$ . Then assume that a minimal pair  $(S, D)$  is *not* birationally equivalent to  $(\mathbf{P}^2, C_d), C_d$  being a nonsingular curve. Then  $(S, D)$  is obtained from a # minimal model  $(\Sigma_B, C)$  of type  $[\sigma * e, B; \nu_1, \nu_1, \dots, \nu_r]$  by shortest resolution of singularities of  $C$ . By the same argument as before,

$$(\sigma - 1)(\tilde{B} - 2) = 2g + 2X. \quad (19)$$

Moreover, assuming  $\sigma \geq 6$ , we have  $2P_{3,1}[D] - 2 = (3Z - 2D)(2Z - D)$ . Thus

$$(\sigma - 5)(\tilde{B} - 10) - 2 = (3Z - 2D)(2Z - D) + 2Y. \quad (20)$$

Here,  $Y = \sum_{j=2}^{\nu_1} \frac{(j-2)(j-3)}{2} t_j$ . Then

$$(\sigma - 5)(\tilde{B} - 10) = (d - 7)(d - 8) + 2Y. \quad (21)$$

Multiplying (21) by  $\sigma - 1$ , we obtain

$$\begin{aligned} & (\sigma - 5)(\sigma - 1)(\tilde{B} - 2) - 8(\sigma - 1)(\sigma - 5) \\ &= (\sigma - 1)(d - 7)(d - 8) + 2(\sigma - 1)Y. \end{aligned}$$

From hypothesis, it follows that

$$(\sigma - 5)(\sigma - 1)(\tilde{B} - 2) = (\sigma - 5)(d^2 - 3d + 2) + 2\delta(\sigma - 5) + 2X(\sigma - 5).$$

Hence, defining  $\Theta_{31}$  to be  $(\sigma - 5)X - (\sigma - 1)Y$ , we have

$$\begin{aligned} \Theta_{31} &= \sum_{j=2}^{\nu_1} \{\sigma(2j - 3) - 2j^2 + 3\} t_j \geq (\sigma - 5)t_2 \\ &+ \sum_{j=3}^{\nu_1} \{2j(j - 3) + 3\} t_j. \end{aligned}$$

Note that  $\Theta_{31} = 0$  implies  $r = 0$ .

Moreover,

$$\begin{aligned} & (\sigma - 1)(d - 7)(d - 8) - (\sigma - 5)(d^2 - 3d + 2) + 8(\sigma - 1)(\sigma - 5) \\ &= 2d^2 - 6\sigma d + 4\sigma^2 + 3\sigma - 3. \end{aligned}$$

Consequently,

$$2d^2 - 6\sigma d + 4\sigma^2 + 3\sigma - 3 = \delta(\sigma - 5) + \Theta_{31}. \quad (22)$$

So,

$$2d^2 - 6\sigma d + 4\sigma^2 + 3\sigma - 3 \geq 0.$$

However,

$$\begin{aligned} & 2d^2 - 6\sigma d + 4\sigma^2 + 3\sigma - 3 \\ &= 2d^2 - 6\sigma d + 4\sigma^2 + 3\sigma - \frac{9}{2} - \frac{1}{2} \\ &= \frac{(2d - 4\sigma + 3)(2d - 2\sigma - 3)}{2} - \frac{1}{2} \geq 0. \end{aligned}$$

Hence,  $(2d - 4\sigma + 3)(2d - 2\sigma - 3) > 0$ .

Therefore, we have either  $\sigma > \frac{2d-3}{2}$  or  $\sigma < \frac{2d+3}{4}$ . From  $\sigma > \frac{2d-3}{2}$ , it follows that  $d \leq \sigma + 1$ . Similarly,  $\sigma < \frac{2d+3}{4}$  implies  $d \geq 2\sigma - 1$ .

### 6.1 Estimate of $d$

We shall verify that if  $d \leq \sigma + 1$  then  $d = \sigma + 1$  and the type is either 1)  $[6 * 8, 1; 2^r], r \leq 5, d = 7$  or 2)  $[7 * 9, 1; 2^r], r \leq 6, d = 8$ . Otherwise,  $d \geq 2\sigma$ .

Actually, assuming  $d \leq \sigma + 1$ , by Lemma 1 we have either (1)  $|\sigma Z - (\sigma - 2)D| \neq \emptyset$  or (2)  $B = 1, 2f < \sigma$  and  $|eZ - (e - 3)D| \neq \emptyset$ .

In the first case, since  $\sigma \geq 4$ , it follows that  $2Z - D$  is nef. Hence,

$$(\sigma Z - (\sigma - 2)D) \cdot (2Z - D) \geq 0$$

and

$$2\sigma Z^2 + (\sigma - 2)D^2 + 2(4 - 3\sigma)\bar{g} \geq 0. \quad (23)$$

By hypothesis,

$$6Z^2 + 2D^2 - 14\bar{g} = (d - 7)(d - 8). \quad (24)$$

Eliminating  $D^2$  from these two formulas, we obtain

$$(6 - \sigma)Z^2 + \frac{(\sigma - 2)(d - 7)(d - 8)}{2} \geq (6 - \sigma)\bar{g}.$$

Hence,

$$\frac{(\sigma - 2)(d - 7)(d - 8)}{2} \geq (\sigma - 6)(Z^2 - \bar{g}).$$

But by Lemma 2,

$$\sigma Z^2 \geq 2(\sigma - 2)\bar{g}$$

and so

$$Z^2 \geq 2\left(1 - \frac{2}{\sigma}\right)\bar{g}.$$

Therefore,

$$(\sigma - 6)(Z^2 - \bar{g}) \geq (\sigma - 6)\left(1 - \frac{4}{\sigma}\right)\bar{g}.$$

Hence,

$$\sigma(\sigma - 2)(d - 7)(d - 8) \geq (\sigma - 4)(\sigma - 6)d(d - 3). \quad (25)$$

Defining a quadratic equation  $F(x)$  by

$$\sigma(\sigma - 2)(x - 7)(x - 8) - (\sigma - 4)(\sigma - 6)x(x - 3),$$

we shall verify that if  $F(d) \geq 0$  then  $d \geq \sigma + 1$ .

This follows from observing Figure 1 which is the figure of curves defined by  $x(x - 2)(y - 7)(y - 8) = (x - 4)(x - 6)y(y - 3)$ ,  $x = 6$ ,  $y = 6$ ,  $y = x + 1$ .

If  $d = \sigma + 1$  then the formula (23) induces

$$(d - 1)(d - 3)(d - 7)(d - 8) \geq (d - 5)(d - 7)d(d - 3),$$

which implies either  $d = 7$  or

$$(d - 1)(d - 8) \geq d(d - 5).$$

Then  $-9d + 8 \geq -5d$ ;  $2 \geq d$ . But this is impossible.

If  $d = 7$  then  $\sigma = 6$  and by (21) we have  $\tilde{B} = 10$ . Hence, the type becomes  $[6 * 8, 1; 2^r]$ .

In the second case, since  $|eZ - (e - 3)D| \neq \emptyset$  and  $2Z - D$  is nef for  $\sigma \geq 4$ , it follows that

$$(eZ - (e - 3)D) \cdot (2Z - D) \geq 0.$$

Therefore,

$$2eZ^2 + (e - 3)D^2 + 2(6 - 3e)\bar{g} \geq 0. \quad (26)$$

Recalling (24), we obtain

$$(9 - e)Z^2 + \frac{(d - 7)(d - 8)(e - 3)}{2} \geq (9 - e)\bar{g}.$$

Hence,

$$\frac{(d - 7)(d - 8)(e - 3)}{2} \geq (e - 9)(Z^2 - \bar{g}). \quad (27)$$



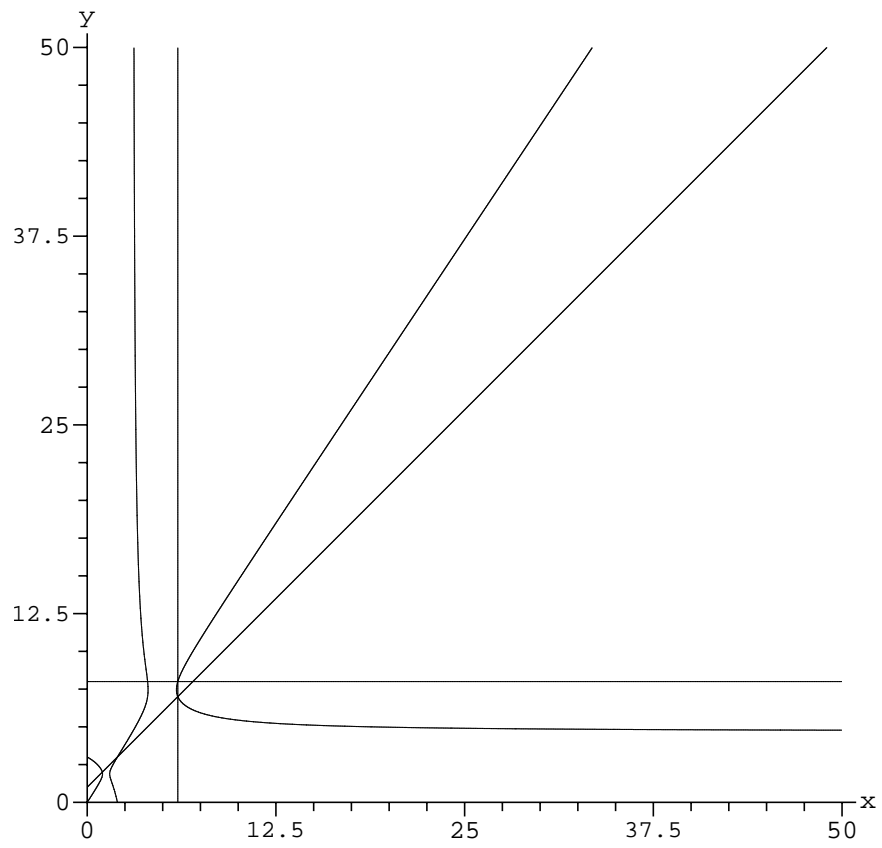


Figure 1:  $x(x-2)(y-7)(y-8) = (x-4)(x-6)y(y-3)$ ,  $x = 6$ ,  $y = 6$ ,  $y = x + 1$

But by Lemma2,

$$Z^2 - \bar{g} \geq \frac{e-6}{e} \bar{g} \geq \frac{(e-6)d(d-3)}{2e}.$$

Combining this with (26), we obtain

$$e(e-3)(d-7)(d-8) \geq (e-6)(e-9)d(d-3). \quad (28)$$

Noting that  $d \geq 8$  and  $e \geq 9$ , we have the next figure of curves.

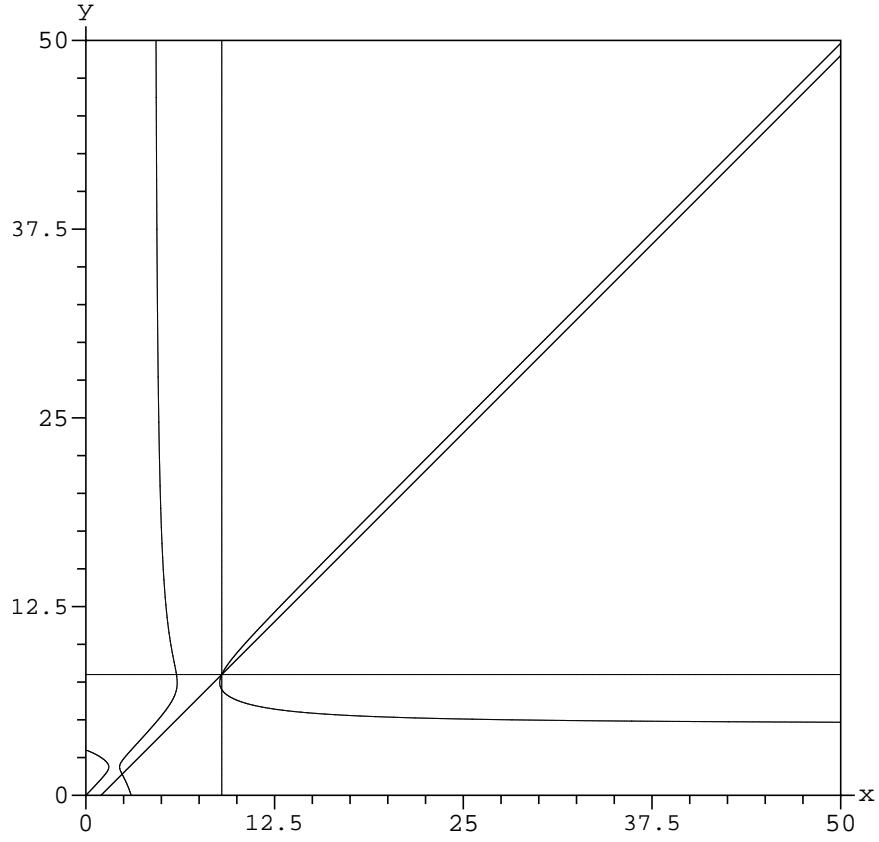


Figure 2:  $x(x-3)(y-7)(y-8) = (x-6)(x-9)y(y-3)$ ,  $x=9$ ,  $y=8$ ,  $y=x-1$

Observing Figure 2, we get  $d \geq e-1$ . Since  $e \geq \sigma + \nu_1$ , we get

$$d \geq e-1 = f + \sigma - 1 \geq f + \sigma - 1 \geq \sigma + \nu_1 - 1.$$

Suppose that  $d = \sigma + 1$ . Then  $d = e - 1$  and by (27) ,we obtain

$$e(e - 3)(e - 8)(e - 9) \geq (e - 6)(e - 9)(e - 1)(e - 4).$$

Hence, either  $e = 9$  or

$$e(e - 3)(e - 8) \geq (e - 6)(e - 1)(e - 4).$$

This induces  $24 \geq 10e$ ; hence,  $2 \geq e$ , which is a contradiction. Thus  $e = 9$  and so  $d = 8, \sigma = 7$  and the type is  $[7 * 9, 1; 2^r]$ , where  $r \leq 6, d = 7$ .

Given  $d$  and  $\sigma$ , one can enumerate  $\delta, t_2, t_3, \dots$  satisfying the following formula:

$$(\sigma - 5)\delta + \Theta_{31} = (\sigma - 5)(\delta + t_2 + 3t_3) + (5\sigma - 29)t_4 + \dots .$$

Since  $\delta + t_2 + 3t_3$  is invariant, if  $d$  and  $\sigma$  are given, then in the following table  $t_3 = 0, \delta = 0$  is assumed. For example, if the type  $[8 * 17; 2^7]$  is given, other types such as  $[8 * 17; 3^{t_3}, 2^{t_2}]$  with  $7 = \delta + t_2 + 3t_3$  exist.

## 6.2 Numerical examples

Table 13: types where  $2P_{3,1}[D] = (d-7)(d-8)$ ,  $2g = (d-1)(d-2)$  with  $7 \leq d \leq 19$  and  $t_3 = 0, \delta = 0$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta$
7	$[6 * 8; 2^5]$	2	0
8	$[7 * 9; 2^6]$	2	0
11	$[6 * 11; 2^5]$	2	0
12	$[6 * 15; 2^{15}]$	2	0
13	$[6 * 20; 2^{29}]$	2	0
13	$[7 * 16, 1; 2^3]$	2	0
14	$[6 * 26; 2^{47}]$	2	0
14	$[7 * 19, 1; 2^9]$	2	0
15	$[6 * 33; 2^{69}]$	2	0
15	$[7 * 19; 2^{17}]$	2	0
16	$[6 * 41; 2^{95}]$	2	0
16	$[7 * 23; 2^{27}]$	2	0
16	$[8 * 17; 2^7]$	2	0
17	$[6 * 50; 2^{125}]$	2	0
17	$[7 * 31, 1; 2^{39}]$	2	0
17	$[8 * 20; 2^{13}]$	2	0
17	$[8 * 21; 4^3, 2^2]$	4	0
18	$[6 * 60; 2^{159}]$	2	0
18	$[7 * 36, 1; 2^{53}]$	2	0
18	$[8 * 24; 4^2, 2^{13}]$	4	0
18	$[8 * 25; 4^5, 2^2]$	4	0
18	$[9 * 19; 4, 2^2]$	4	0
19	$[6 * 71; 2^{197}]$	2	0
19	$[7 * 38; 2^{69}]$	2	0
19	$[8 * 27; 2^{29}]$	2	0
19	$[8 * 28; 4^3, 2^{18}]$	4	0
19	$[8 * 29; 4^6, 2^7]$	4	0
19	$[9 * 26, 1; 2^{11}]$	2	0
19	$[9 * 22; 4^2, 2^3]$	4	0

Table 14: types where  $2P_{3,1}[D] = (d - 7)(d - 8)$ ,  $2g = (d - 1)(d - 2)$  with  $20 \leq d \leq 21$ , and  $t_3 = 0, \delta = 0$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$	$\delta$
20	$[6 * 83; 2^{239}]$	2	0
20	$[7 * 44; 2^{87}]$	2	0
20	$[8 * 31; 2^{39}]$	2	0
20	$[8 * 32; 4^3, 2^{28}]$	4	0
20	$[8 * 33; 4^6, 2^{17}]$	4	0
20	$[8 * 34; 4^9, 2^6]$	4	0
20	$[9 * 29, 1; 2^{17}]$	2	0
20	$[9 * 25; 4^2, 2^9]$	4	0
20	$[9 * 30, 1; 4^4, 2]$	4	0
21	$[6 * 96; 2^{285}]$	2	0
21	$[7 * 54, 1; 2^{107}]$	2	0
21	$[8 * 36; 4^2, 2^{43}]$	4	0
21	$[8 * 37; 4^5, 2^{32}]$	4	0
21	$[8 * 38; 4^8, 2^{21}]$	4	0
21	$[8 * 39; 4^{11}, 2^{10}]$	4	0
21	$[9 * 28; 4, 2^{20}]$	4	0
21	$[9 * 33, 1; 4^3, 2^{12}]$	4	0
21	$[9 * 29; 4^5, 2^4]$	4	0
21	$[10 * 24; 5, 4, 2]$	5	0

## 7 $P_{2,1}[D]$ and $P_{3,1}[D]$

Suppose that a minimal pair  $(S, D)$  satisfies that  $P_{2,1}[D] \geq \frac{(d-4)(d-5)}{2}$  and  $P_{3,1}[D] = \frac{(d-7)(d-8)}{2}$  for  $d > 6$  that is *not* birationally equivalent to  $(\mathbf{P}^2, C_d), C_d$  being a nonsingular curve. Then  $(S, D)$  is obtained from a # minimal model  $(\Sigma_B, C)$  of type  $[\sigma * e, B; \nu_1, \nu_1, \dots, \nu_r]$  by shortest resolution of singularities of  $C$ . Then defining  $\Delta_{21}$  to be  $P_{2,1}[D] - \frac{(d-4)(d-5)}{2} \geq 0$ ,

$$(\sigma - 3)(\tilde{B} - 6) = (d - 4)(d - 5) + 2\Delta_{21} + 2V. \quad (29)$$

Here,  $V = \sum_{j=2}^{\nu_1} \frac{(j-2)(j-1)}{2} t_j$ . Moreover,

$$(\sigma - 5)(\tilde{B} - 10) = (d - 7)(d - 8) + 2Y. \quad (30)$$

Here,  $Y = \sum_{j=2}^{\nu_1} \frac{(j-2)(j-3)}{2} t_j$ .

Then multiplying (27) by  $\sigma - 3$ , we obtain

$$(\sigma - 3)(\sigma - 5)(\tilde{B} - 10) = (\sigma - 3)(d - 7)(d - 8) + 2(\sigma - 3)Y. \quad (31)$$

By (26),

$$\begin{aligned} & (\sigma - 3)(\sigma - 5)(\tilde{B} - 10) \\ &= (\sigma - 3)(\sigma - 5)(\tilde{B} - 6) - 4(\sigma - 3)(\sigma - 5) \\ &= (\sigma - 5)((d - 4)(d - 5) + 2\Delta_{21} + 2V) + (\sigma - 5)\Delta_{21} - 4(\sigma - 3)(\sigma - 5). \end{aligned}$$

Hence,

$$\begin{aligned} & (\sigma - 3)(d - 7)(d - 8) + 2(\sigma - 5)Y \\ &= (\sigma - 5)((d - 4)(d - 5) + 2V) + (\sigma - 5)\Delta_{21} - 4(\sigma - 3)(\sigma - 5). \end{aligned}$$

Therefore, defining  $\Theta_{32}$  to be  $(\sigma - 3)V - (\sigma - 5)Y$ , we obtain

$$(d - \sigma - 2)(d + 2 - 2\sigma) = (\sigma - 5)\Delta_{21} + \Theta_{32}. \quad (32)$$

Here,  $\Theta_{32} = \sum_{j=3}^{\nu_1} (j - 2)(\sigma - j - 2)t_j = (\sigma - 5)t_3 + 2(\sigma - 6)t_4 + \dots$ .

Since  $\Theta_{32} \geq 0$ , it follows that

$$(d - \sigma - 2)(d + 2 - 2\sigma) \geq 0.$$

Thus either  $d \leq \sigma + 2$  or  $d \geq 2\sigma - 2$ .

Note that if  $(\sigma - 5)\Delta_{21} + \Theta_{32} = 0$  and  $\sigma \geq 6$  then  $\Delta_{21} = 0$  and  $\nu_1 \leq 2$ . Moreover, in this case, we have two cases:  $d = \sigma + 2$  or  $d = 2\sigma - 2$ .

If  $\sigma = d - 2$ , then the type becomes  $[\sigma * (\sigma + 2), 1; 2^r]$ .

If  $\sigma = \frac{d+2}{2}$ , then  $d = 2\sigma - 2$  and from the formula

$$(\sigma - 3)(\tilde{B} - 6) = (d - 4)(d - 5) + 2V = 2(\sigma - 3)(2\sigma - 7)$$

it follows that  $\tilde{B} = 4\sigma - 8$ .

When  $B = 0$ , we have  $f = 2\sigma - 4$ . The type becomes  $[\sigma * 2(\sigma - 2); 2^r]$ .

When  $B = 1$ , we have  $2f = 3\sigma - 8$ . Then  $\sigma$  is even and the type becomes  $[\sigma * \frac{5\sigma-8}{2}, 1; 2^r]$ .

### 7.1 Estimate of $d$

We shall verify that if  $\sigma \geq d - 2$ , then  $B = 1, f = 2, d = \sigma + 2$  and the type is  $[(d - 2) * d, 1; 2^r]$ .

Actually,  $P_{2,1}[D] = \frac{(d-4)(d-5)}{2}$  and  $P_{3,1}[D] = \frac{(d-7)(d-8)}{2}$  imply

$$(2Z - D) \cdot Z = (d - 3)(d - 6), \quad (3Z - 2D) \cdot (2Z - D) = (d - 9)(d - 6). \quad (33)$$

By Lemma 1, we have the following two cases.

case (1):  $|\sigma Z - (\sigma - 2)D| \neq \emptyset$ .

In this case, from

$$\alpha Z + \beta(3Z - 2D) = \sigma Z - (\sigma - 2)D,$$

we obtain

$$\alpha = \frac{6 - \sigma}{2}, \beta = \frac{\sigma - 2}{2}.$$

Since  $2Z - D$  is nef for  $\sigma \geq 4$ , it follows that

$$(\sigma Z - (\sigma - 2)D) \cdot (2Z - D) \geq 0.$$

Hence,

$$\begin{aligned} & (\sigma Z - (\sigma - 2)D) \cdot (2Z - D) \\ &= (\alpha Z + \beta(3Z - 2D)) \cdot (2Z - D) \\ &= \alpha Z \cdot (2Z - D) + \beta(3Z - 2D) \cdot (2Z - D) \\ &= \alpha(d - 3)(d - 6) + \beta(d - 6)(d - 9) \\ &= \frac{6 - \sigma}{2}(d - 3)(d - 6) + \frac{\sigma - 2}{2}(d - 6)(d - 9) \\ &\geq 0. \end{aligned}$$

By  $d > 6$ , we obtain  $2d - 3\sigma \geq 0$ . Hence,  $\sigma \leq \frac{2d}{3}$ .

By hypothesis,  $\sigma \geq d - 2$ . Thus  $\frac{2d}{3} \geq d - 2$ , which induces  $d \leq 6$ . This contradicts the hypothesis that  $d > 6$ .

case (2):  $B = 1, 2f < \sigma$  and  $|eZ - (e - 3)D| \neq \emptyset$ .  
Then solving the following equation:

$$\alpha Z + \beta(3Z - 2D) = eZ - (e - 3)D,$$

we obtain

$$\alpha = \frac{9 - \sigma}{2}, \beta = \frac{e - 3}{2}.$$

Since  $2Z - D$  is nef for  $\sigma \geq 4$ , it follows that

$$(eZ - (e - 3)D) \cdot (2Z - D) \geq 0.$$

By the same argument as before, we conclude that  $d \geq e$ .

But by hypothesis,  $\sigma \geq d - 2$ .

On the other hand,  $e = f + \sigma \geq \nu_1 + \sigma$ . Thus  $d \geq e \geq \nu_1 + \sigma$ ; thus  $\sigma \geq d - 2 \geq \nu_1 + \sigma - 2$ . Hence,  $\nu_1 = 1, 2$ .

If  $\nu_1 = 1$  then  $f \geq 2$  by # minimality and hence,  $e - \sigma = 2$  and  $f = 2$ . The type becomes  $[\sigma * (\sigma + 2), 1; 1]$ . Contracting  $\Delta_\infty$  into a point, we have a singular plane curve with only one double point.

If  $\nu_1 = 2$  then  $e - \sigma = 2, f = 2$ . In this case, The type becomes  $[\sigma * (\sigma + 2), 1; 2^r]$ . Contracting  $\Delta_\infty$  into a point, we have a singular plane curve with  $r + 1$  double points.

Apart from this case, we have  $d \geq 2\sigma - 1$ .

## 7.2 Numerical examples



Table 15: types in which  $P_{2,1}[D] \geq \frac{(d-4)(d-5)}{2}$  and  $P_{3,1}[D] = \frac{(d-7)(d-8)}{2}$  with  $10 \leq d \leq 21$  and  $\Delta_{21} = t_2 = 0$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$
10	[6 * 8; 1]	1
11	[6 * 11; 3 <sup>3</sup> ]	3
12	[6 * 15; 3 <sup>8</sup> ]	3
12	[7 * 10; 1]	1
13	[6 * 20; 3 <sup>15</sup> ]	3
13	[7 * 16, 1; 3 <sup>2</sup> ]	3
14	[6 * 26; 3 <sup>24</sup> ]	3
14	[7 * 19, 1; 3 <sup>5</sup> ]	3
14	[8 * 12; 1]	1
15	[6 * 33; 3 <sup>35</sup> ]	3
15	[7 * 19; 3 <sup>9</sup> ]	3
16	[6 * 41; 3 <sup>48</sup> ]	3
16	[7 * 23; 3 <sup>14</sup> ]	3
16	[8 * 17; 3 <sup>4</sup> ]	3
16	[8 * 18; 4 <sup>3</sup> ]	4
16	[9 * 14; 1]	1
17	[6 * 50; 3 <sup>63</sup> ]	3
17	[7 * 31, 1; 3 <sup>20</sup> ]	3
17	[8 * 20; 3 <sup>7</sup> ]	3
17	[8 * 21; 4 <sup>3</sup> , 3 <sup>3</sup> ]	4
17	[9 * 21, 1; 4]	4

Table 16: types in which  $P_{2,1}[D] \geq \frac{(d-4)(d-5)}{2}$  and  $P_{3,1}[D] = \frac{(d-7)(d-8)}{2}$  with  $18 \leq d \leq 20, t_2 = 0$

$d$	$[\sigma * e, B; \text{multiplicities}]$	$\nu_1$
18	$[6 * 60; 3^{80}]$	3
18	$[7 * 36, 1; 3^{27}]$	3
18	$[8 * 24; 4^2, 3^8]$	4
18	$[8 * 25; 4^5, 3^4]$	4
18	$[8 * 26; 4^8]$	4
18	$[9 * 19; 4, 3^2]$	4
18	$[10 * 16; 1]$	1
19	$[6 * 71; 3^{99}]$	3
19	$[7 * 38; 3^{35}]$	3
19	$[8 * 27; 3^{15}]$	3
19	$[8 * 28; 4^3, 3^{11}]$	4
19	$[8 * 29; 4^6, 3^7]$	4
19	$[8 * 30; 4^9, 3^3]$	4
19	$[9 * 26, 1; 3^6]$	3
19	$[9 * 22; 4^2, 3^3]$	4
19	$[9 * 27, 1; 4^4]$	4
20	$[6 * 83; 3^{120}]$	3
20	$[7 * 44; 3^{44}]$	3
20	$[8 * 31; 3^{20}]$	3
20	$[8 * 32; 4^3, 3^{16}]$	4
20	$[8 * 33; 4^6, 3^{12}]$	4
20	$[8 * 34; 4^9, 3^8]$	4
20	$[8 * 35; 4^{12}, 3^4]$	4
20	$[8 * 36; 4^{15}]$	4
20	$[9 * 29, 1; 3^9]$	3
20	$[9 * 25; 4^2, 3^6]$	4
20	$[9 * 30, 1; 4^4, 3^3]$	4
20	$[9 * 26; 4^6]$	4
20	$[10 * 21; 4^2]$	4
20	$[11 * 18; 1]$	1

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